

NEMI Pb-Free Solder Projects: Progress and Results

Carol Handwerker

NIST

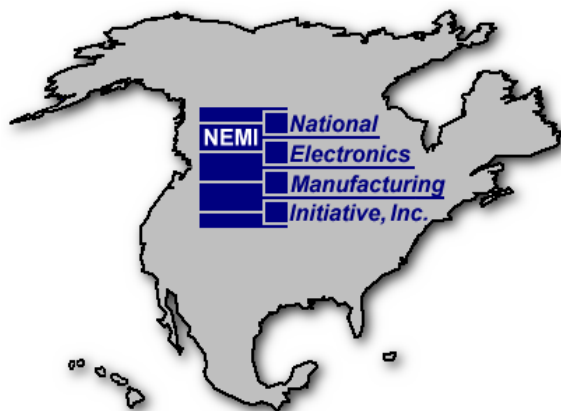
Gaithersburg MD

April 27, 2004

DoD Pb-Free Solder

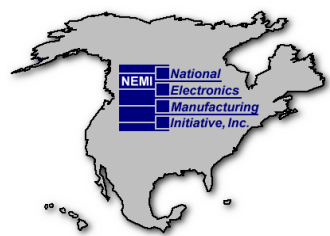
Implementation Meeting

Washington DC



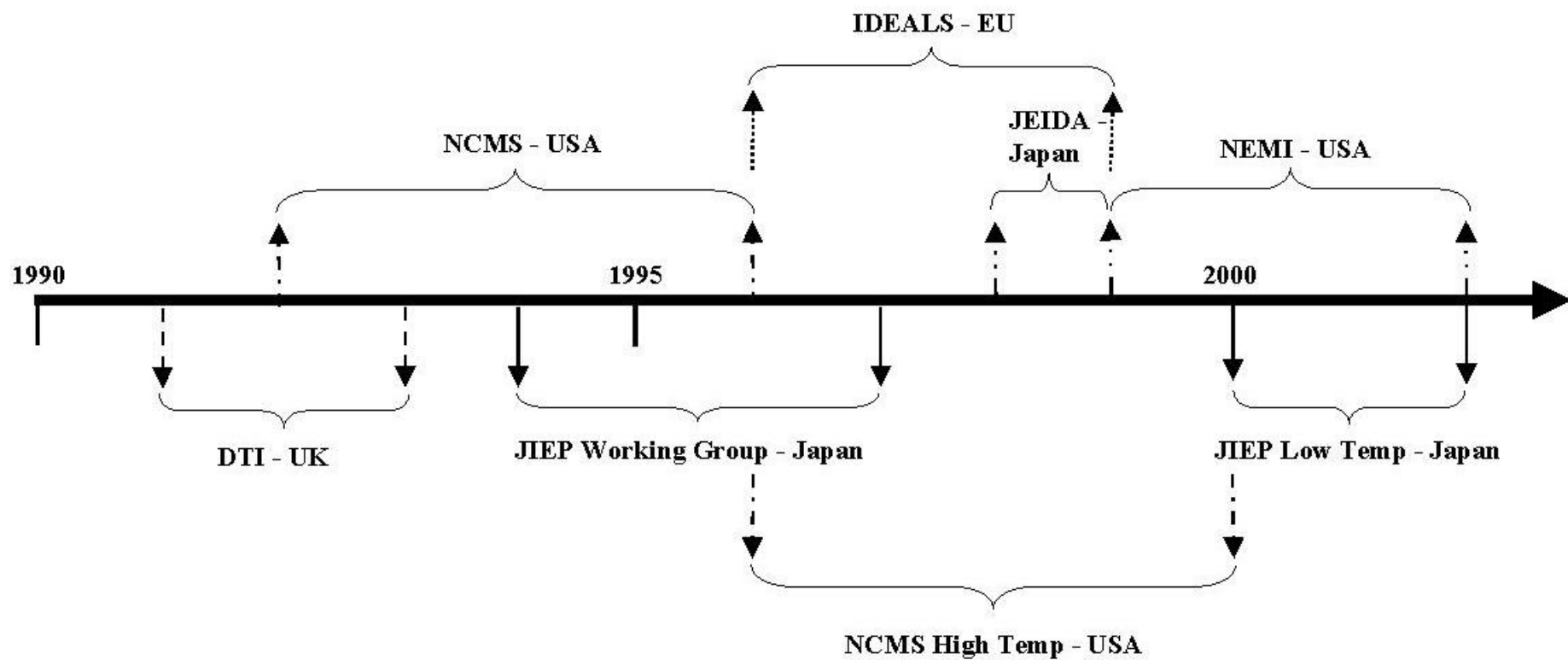
NIST

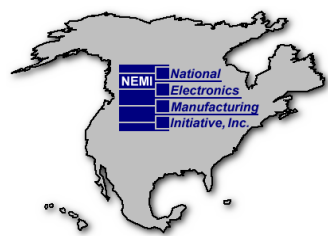
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Outline

- **History of Pb-Free Projects Worldwide and NEMI Project Structure**
- **Analysis of Sn-Ag-Cu Alloy Results**
 - Issues in processing, particularly in the transition period
 - Reliability testing results
- **Tin whiskers: is any tin surface finish worth the risk?**





NCMS Lead-Free Solder Consortium

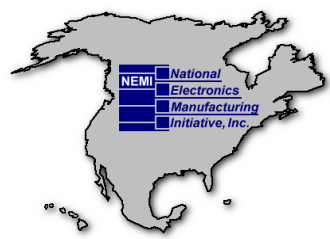
- AT&T/ Lucent Technologies
- Ford Motor Company (Ford)
- General Motors (GM) —Hughes Aircraft
- General Motors—Delco Electronics
- Hamilton Standard, Division of United Technologies
- National Institute of Standards and Technology (NIST)
- Electronics Manufacturing Productivity Facility (EMPF)
- Rensselaer Polytechnic Institute (RPI)
- Rockwell International Corporation
- Sandia National Laboratories
- Texas Instruments Incorporated

1993-1997

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Connect with and Strengthen Your Supply Chain



NCMS High Temperature Fatigue Resistant Solder Consortium (1998- 2001)

OEMs

Delphi Delco Electronics
Systems

Ford Motor Company

Rockwell International

AlliedSignal

Component manufacturer

Amkor

Solder suppliers

Heraeus Cermalloy

Indium Corporation

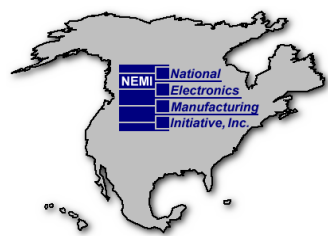
Johnson Manufacturing

Federal Laboratories

Ames Laboratory

NIST

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NEMI Task Group Structure: 1999-2002

NEMI Pb-free Assembly Task Force

Edwin Bradley, *Motorola*

Rick Charbonneau, *StorageTek*

Solder Alloy

Carol Handwerker, *NIST*

Reliability

John Sohn, *NEMI*

Components

Rich Parker, *Delphi*

Assembly Process

Jasbir Bath, *Solectron*

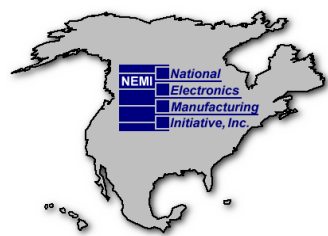
Tin Whiskers

Swami Prasad, *ChipPAC*

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NEMI Assembly Project Participants

•OEMs/EMS

- Agilent
- Alcatel Canada
- Celestica
- Compaq
- Delphi Delco
- IBM
- Intel
- Kodak
- Lucent
- Motorola
- Sanmina-SCI
- Sollectron
- StorageTek

•Solder Suppliers

- Alpha Metals
- Heraeus
- Indium
- Johnson Mfg.
- Kester

•Components

- ChipPac
- Intel
- Motorola
- Texas Instruments
- FCI USA Electronics

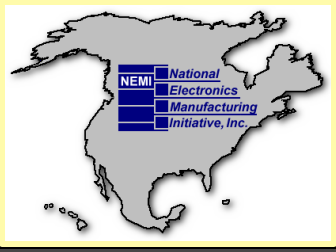
Govt. & Other

- NIST
- SUNY-B/IEEC
- ITRI (US)
- IPC

Equipment

- BTU
- DEK
- Orbotech
- Teradyne
- Universal
- Vitronics-Soltec

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NIST Projects in Solder Science

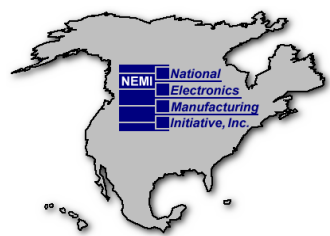
- Phase Transformations in Pb-Free Solder Systems

[http:// www.metallurgy.nist.gov/solder](http://www.metallurgy.nist.gov/solder)

- Effect of Pb Contamination on Melting and Solidification Behavior of Sn-Bi Alloys
- Failure Analysis for Reliability Trials in NEMI Pb-Free Task Force
- Fillet Lifting in Pb-Free Solder Alloys
- Properties Database for Pb-Free Solder Alloys

<http://www.metallurgy.nist.gov/solder>

- Solderability Test Methods - Sn-Pb and Pb-Free
- Sn Whisker Growth in Sn-based Surface Finishes
- Modeling of Solder Joint Geometries and Forces for SMT, Wafer-Level Underfill, and Photonics



Transition Issues

Lead-free surface finishes

- ✓ Organic surface finishes already a problem for Sn/Pb solder
- ✓ Higher reflow temperatures with Sn-Ag-Cu is even more of a challenge; second side reflow

Sn-Ag-Cu solders with Sn/Pb balls

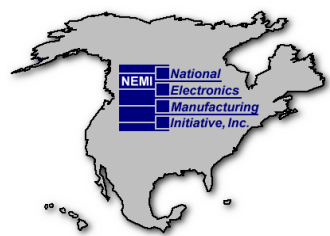
- ✓ No discernable issues

Sn-Ag-Cu balls with Sn/Pb solders

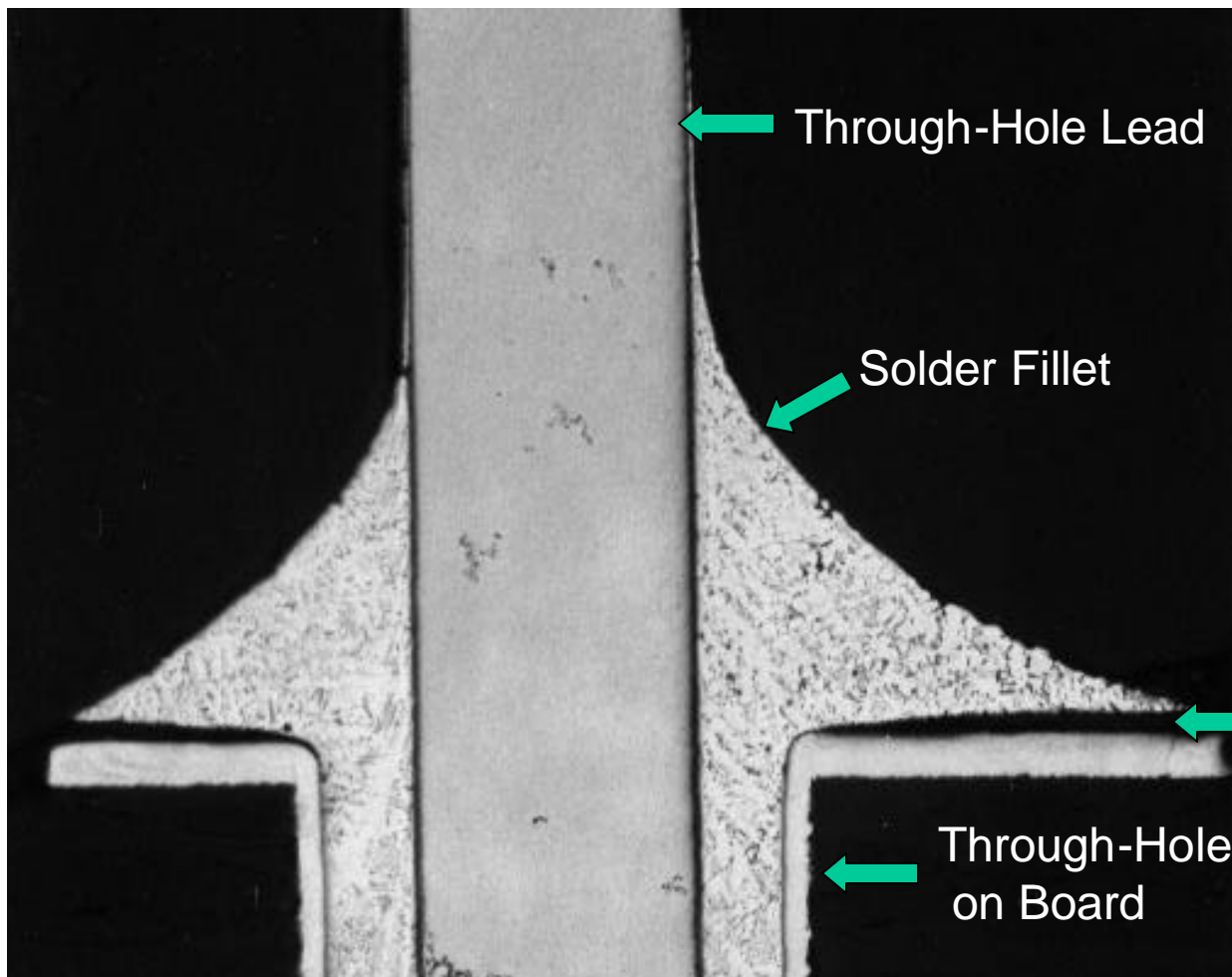
- ✓ May be some issues of backward compatibility with respect to reliability for area array joints

Sn-Ag-Cu solders with Sn/Pb surface finishes

- ✓ Through-hole fillet lifting



Morphology of Fillet Lifting

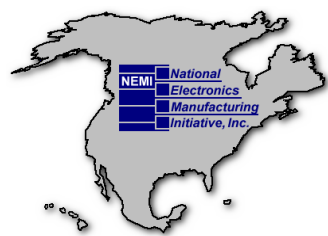


NCMS Lead-Free Solder Project and collaboration between NIST and Tsung-Yu Pan, Ford

NIST

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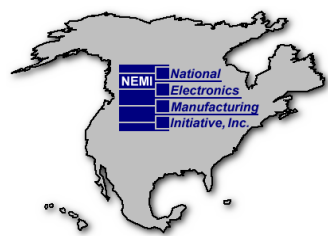
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Effect of Pb Additions on Fillet Lifting

Sn-3.5Ag used as base alloy

A4 - Sn- 3.5Ag			F59 (A4 + 2.5Pb)			F60 (A4 + 5Pb)		
Board	Pad	Avg.	Board	Pad	Avg.	Board	Pad	Avg.
Thin	Small	0	Thin	Small	0.7	Thin	Small	0.4
	Large	0		Large	0.9		Large	0.2
Med.	Small	0	Med.	Small	1	Med.	Small	0.6
	Large	0.5		Large	1		Large	0.7
Thick	Small	0	Thick	Small	1	Thick	Small	1
	Large	0.5		Large	1		Large	0.8

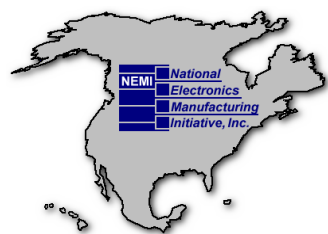


Reliability Test Matrix

Component	Source	Description	Reliability Testing	
			-40 to 125°C	0 to 100°C
Type 1 TSOP	AMD	48 Pin TSOP with leads on short sides, SnPb and NiPd finishes	Solectron	
2512 Resistor	Koaspeer	zero ohm chip resistor, SnPb and pure Sn finishes	Sanmina-SCI	
169 CSP	Lucent	0.8mm pitch, 11x11mm, 7.7 x 7.7 mm die, SnAgCu and SnPb balls	Kodak	Lucent
208 CSP (HDPUG)	ChipPac	0.8mm pitch, 15x15mm, 8.1 x 8.1 mm die, SnAgCu and SnPb balls	Kodak (both SnAgCu alloys)	Sanmina-SCI
256 BGA (NCMS)	Amkor	1.27mm pitch, 27x27 mm, 10.0 x 10.0 mm die, SnAgCu and SnPb balls	Celestica	Sanmina-SCI
256 CBGA	Vendor part; IBM ball attach	1.27mm pitch, no die, SnAgCu and SnPb balls		Motorola

SnAgCu balls: Sn4.0Ag0.5Cu - provided by Heraeus

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ATC Relative Performance

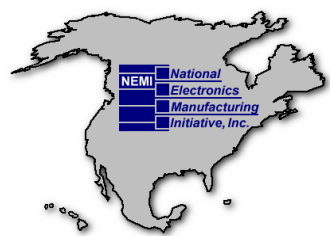
	-40 to +125			0 to 100		
Component	Relative Performance			Relative Performance		
	SnPb - SnPb	SnPb - LF	LF-LF	SnPb - SnPb	SnPb - LF	LF-LF
AMD 48 TSOP - im Ag bds	0	-	0			
AMD 48 TSOP - NiAu bds	0	+	+			
2512 Resistors - im Ag bds	0	0	0			
2512 Resistors - NiAu bds	0					
169 CSP	0	+	+	0	0	+
208 CSP	0	0	+	0	+	+
208 CSP - JEITA alloy			0			
256 PBGA	0	0	0	0	0	0
256 Ceramic BGA				0	-	+

0 equivalent to SnPb-SnPb benchmark (95% confidence bounds)

- statistically worse than SnPb-SnPb benchmark

+ statistically better than SnPb-SnPb benchmark

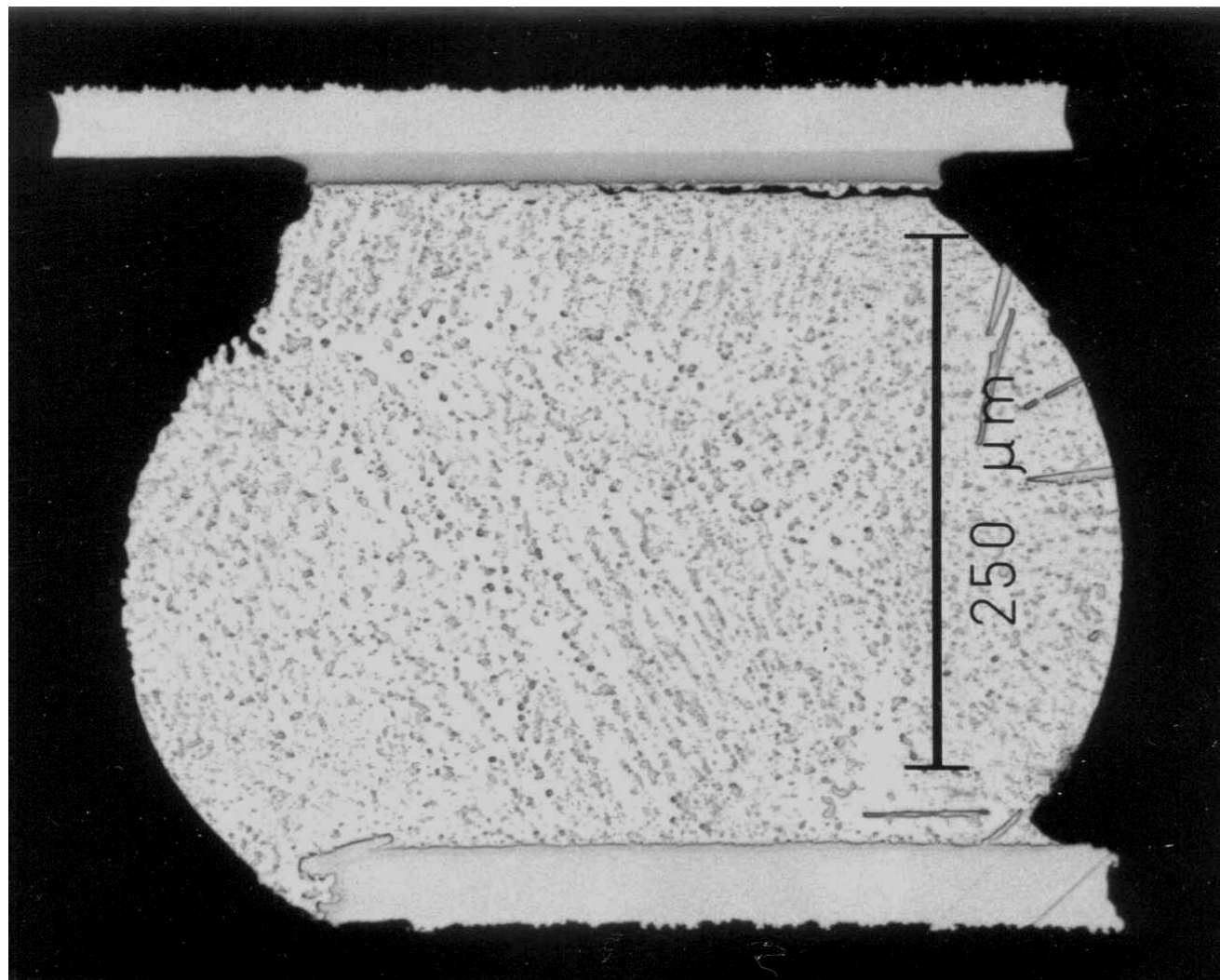
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Example of Solder Joint Microstructure: 169CSP, LF-LF, -40 °C to +125 °C

Sn-3.9Ag-0.6Cu

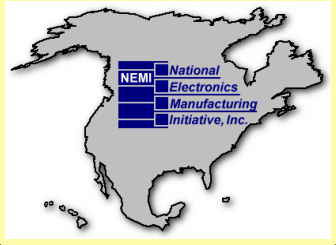
Solder consists of
tin dendrites
separated by
Cu-Sn and Ag-Sn
intermetallics



NIST

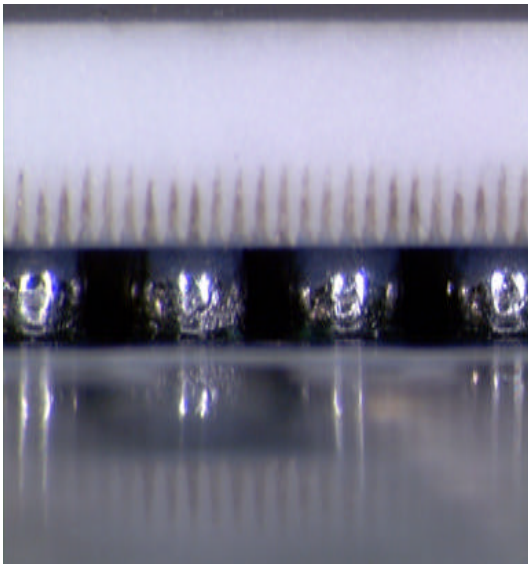
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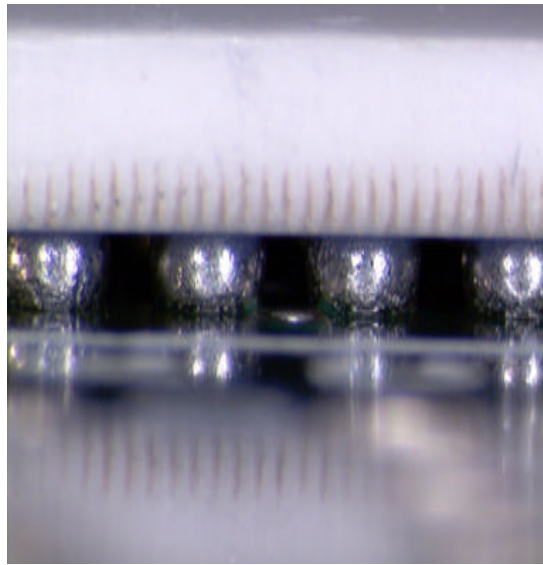


Universal Build Visual Inspection Results: CBGA

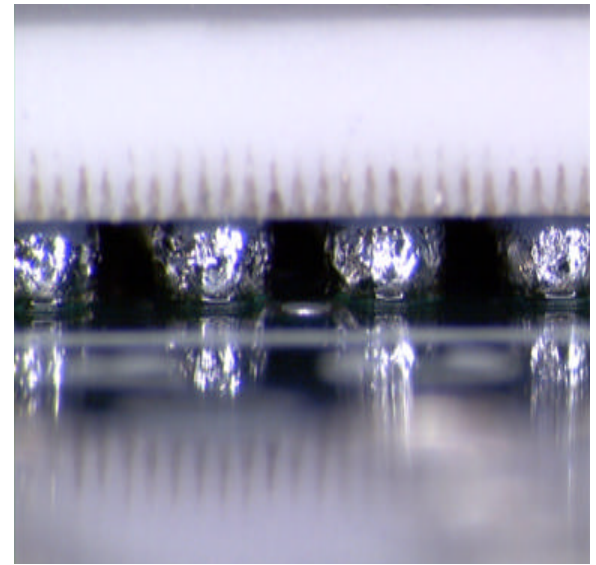
Visual Inspection Criteria must be changed



Tin-lead paste/
tin-lead CBGA
(Shiny joint)



Lead-free paste/
Tin-lead CBGA
(Dull joint)



Lead-free paste/
lead-free CBGA
(Cratered solder joint)

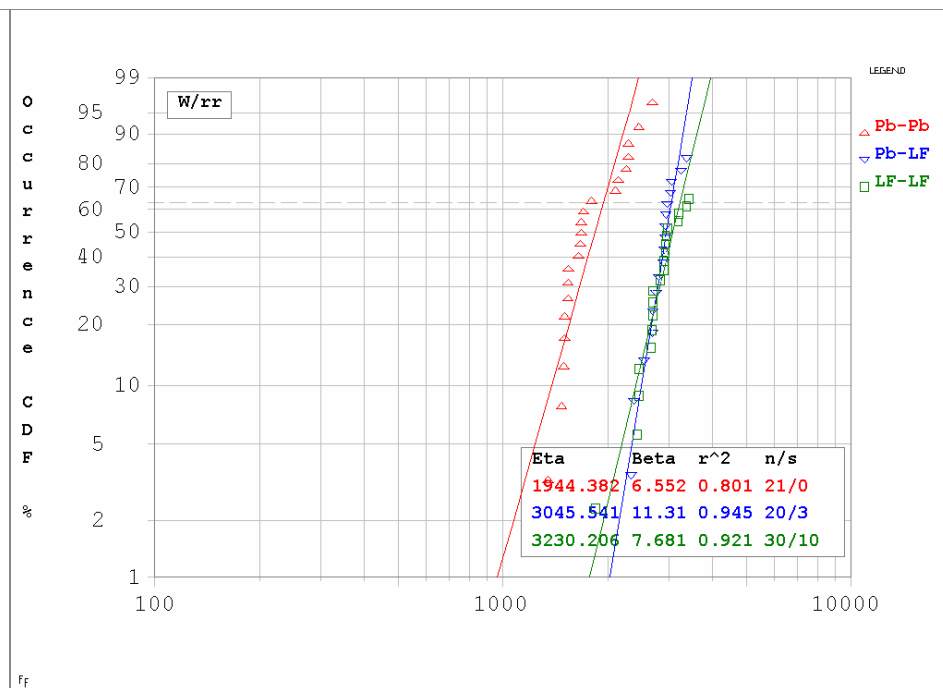
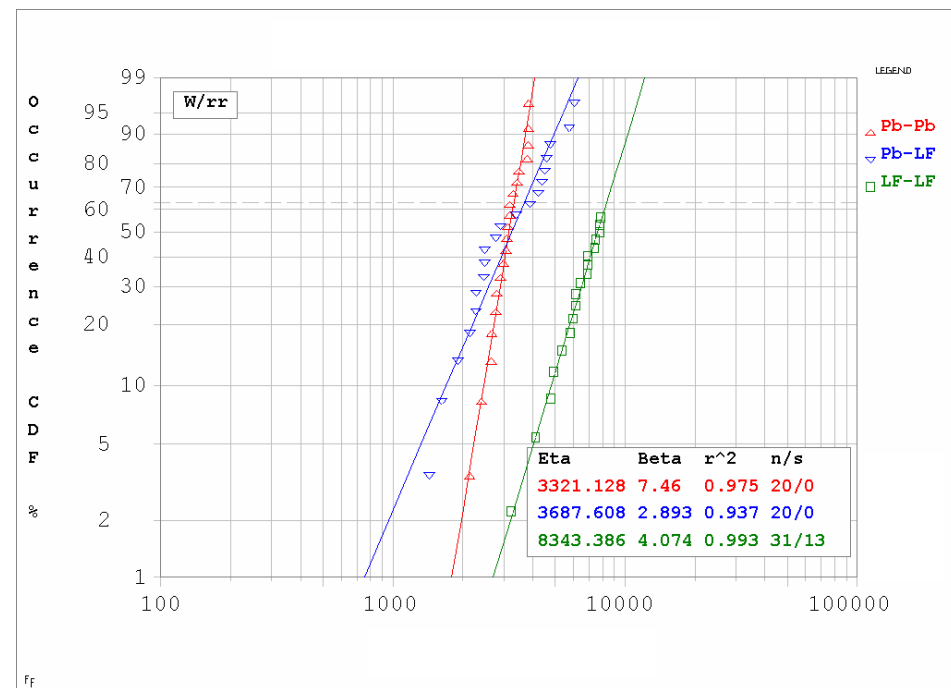
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169CSP Lifetime Analyses: What are Acceleration Factors for Sn-Ag-Cu ?

0 °C to 100 °C cycling

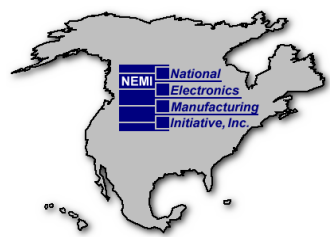
-40 °C to +125 °C cycling



	Pb-Pb	Pb-LF	LF-LF
h (N63)	3321	3688	8343
b	7.5	2.9	4.1

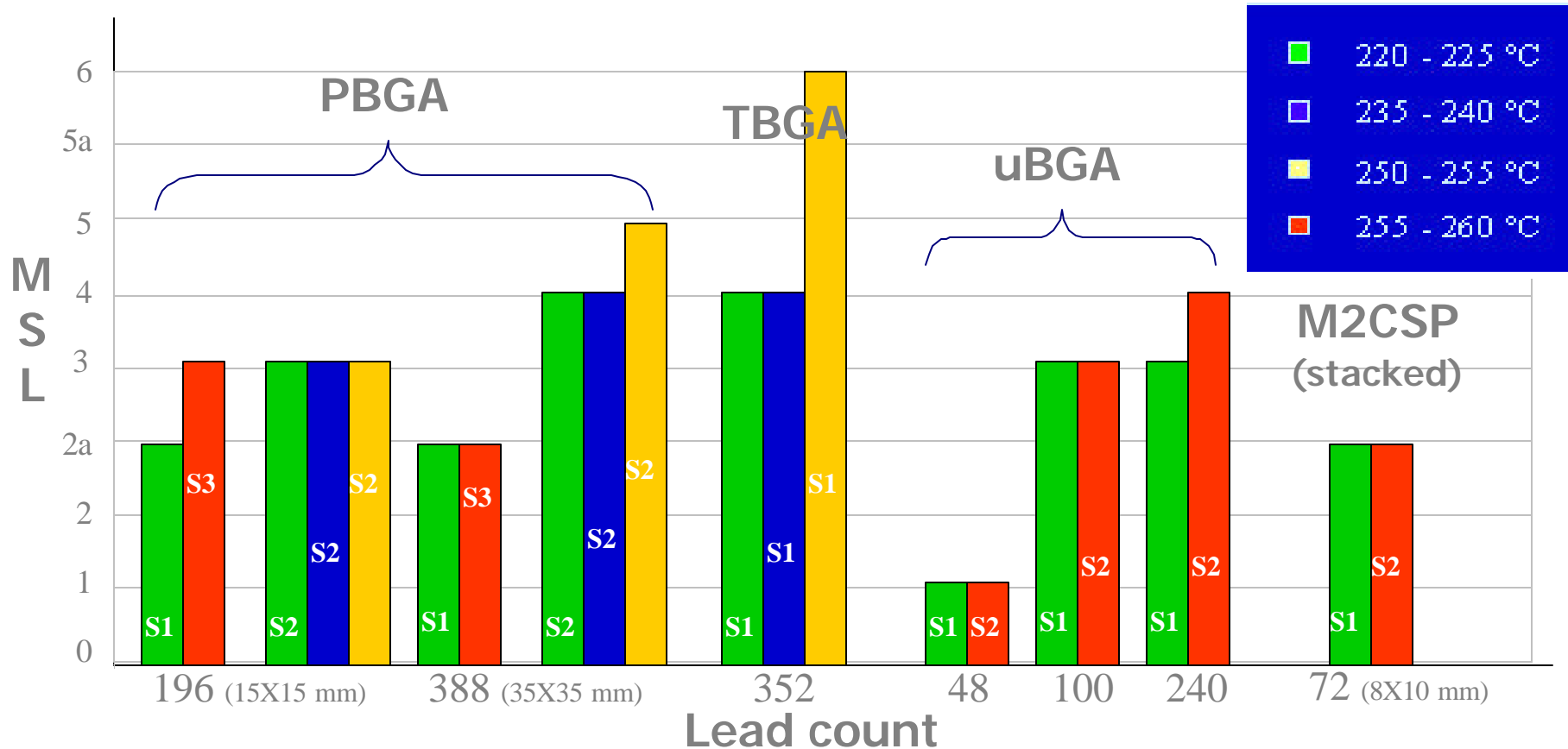
	Pb-Pb	Pb-LF	LF-LF
h (N63)	1944	3046	3230
b	6.6	11.3	7.7

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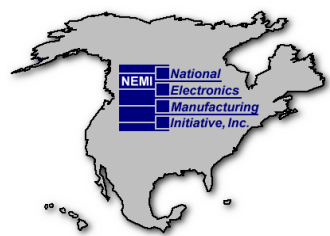
MSL vs Reflow Temperature: IC Packages

Package Vs. MSL Vs. Peak Reflow Temperature



S1, S2, S3, etc. = S1 is existing package structure; S2 is improved package structure; S3 is further improved package structure; S1, S2, S3 may not be the same for each package tested (i.e. new mold compound, assembly equipment, die coat, etc.)

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Open Issues

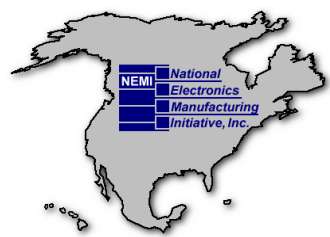
Tin Whisker Formation

- ✓ Why? When? How?
- ✓ Is there a magic bullet?

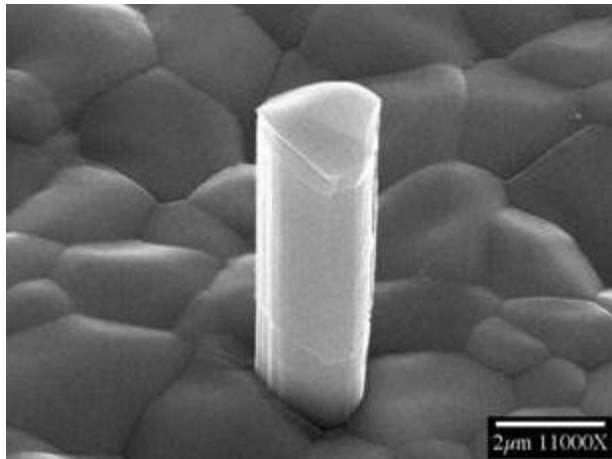
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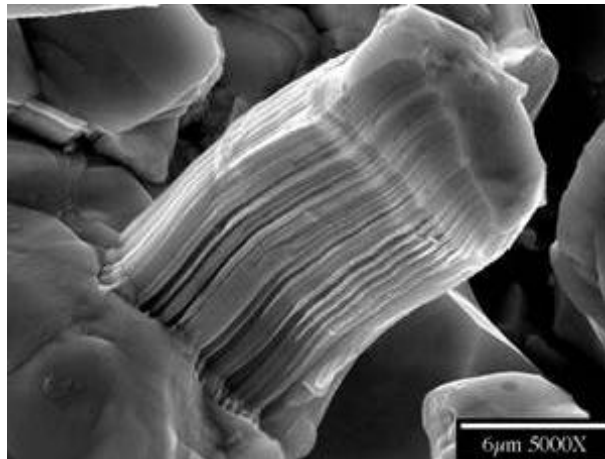
Connect with and Strengthen Your Supply Chain



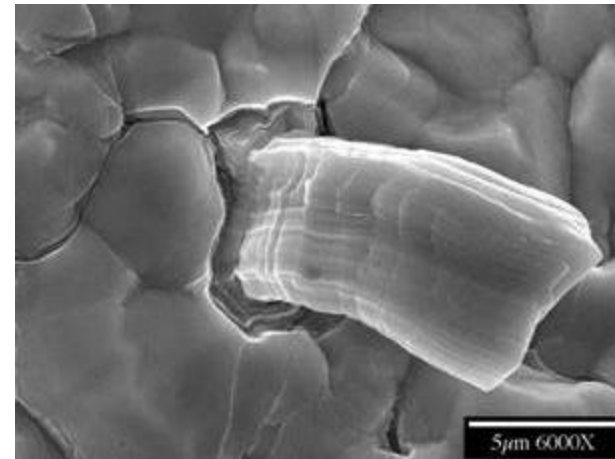
Whisker Examples



**Consistent cross-section
(column)**



Striations



Rings

Surface finish impurities matter

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Tin Pest

✓ How much of a problem will there be?

Tin-Pest in Sn-0.5mass%Cu Lead-Free Solder

Yoshiharu Kariya, Naomi Williams, Colin Gagg and William Plumbridge
Materials Engineering Department, The Open Univesity,
Walton Hall, Milton Keynes, MK7 6AA, United Kingdom

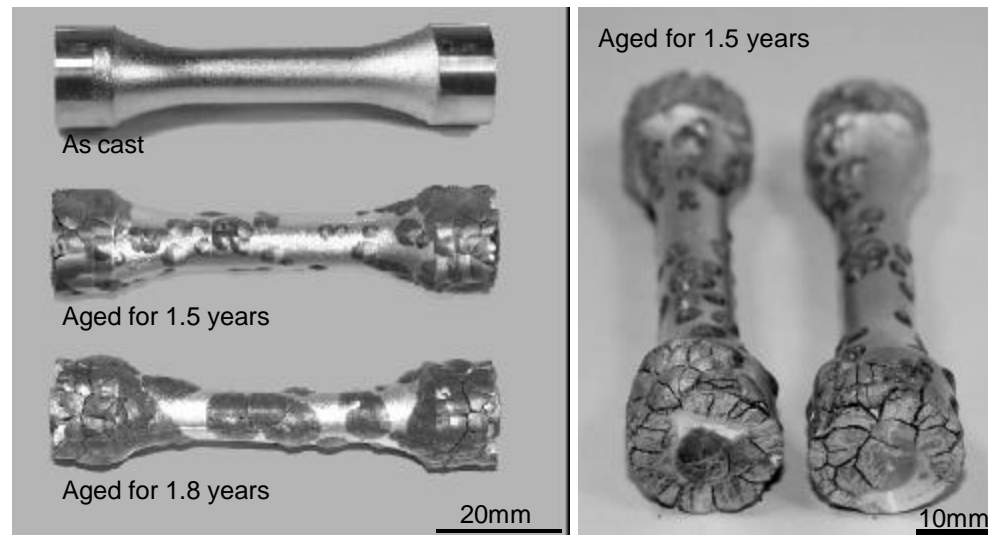
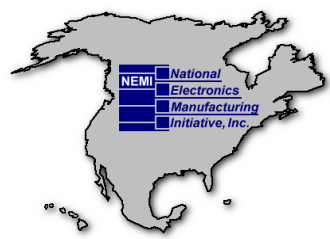
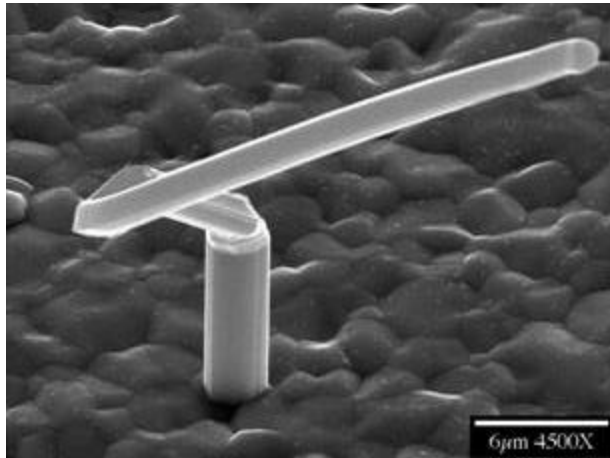


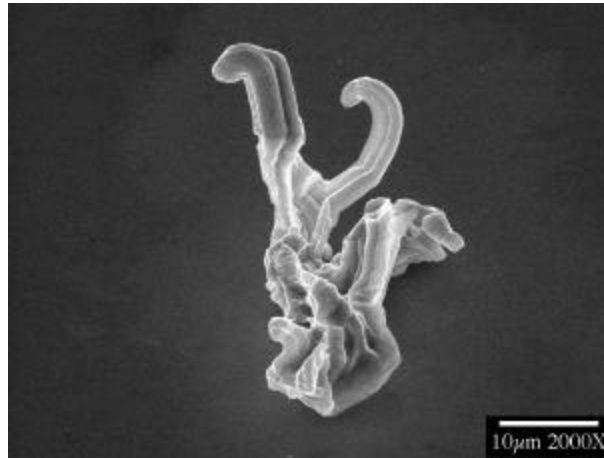
Fig. 2 The transformation of β -tin (white tin) into α -tin (grey tin) occurring in Sn-0.5Cu, aged at 255K for 1.5 and 1.8 years



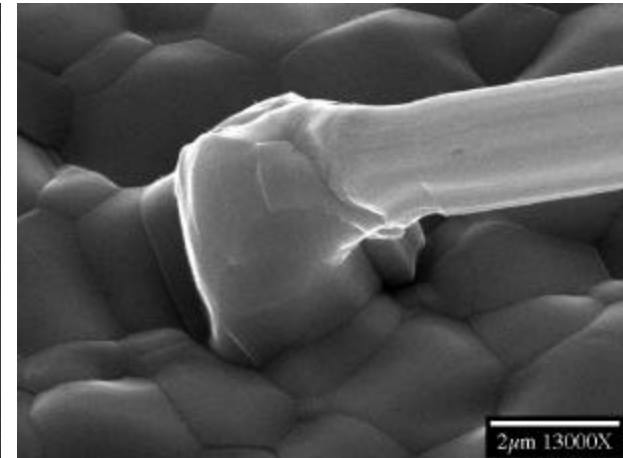
Whisker Examples



Kinked

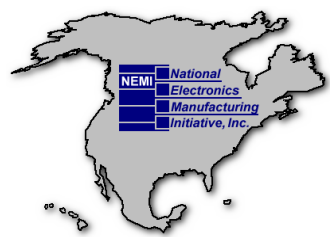


Branched

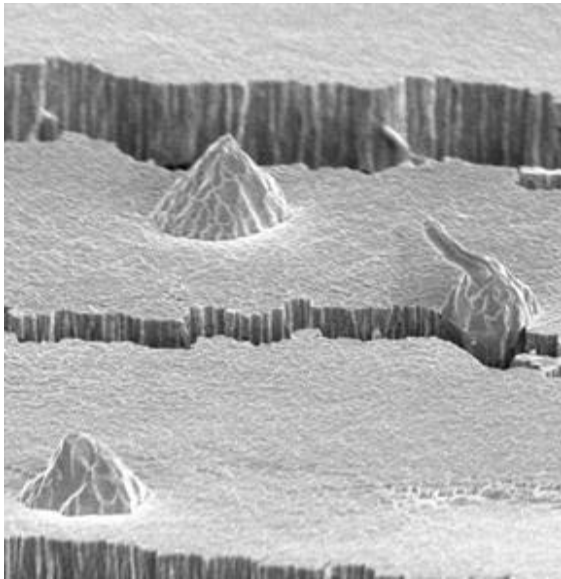


**Initiating from
Hillock**

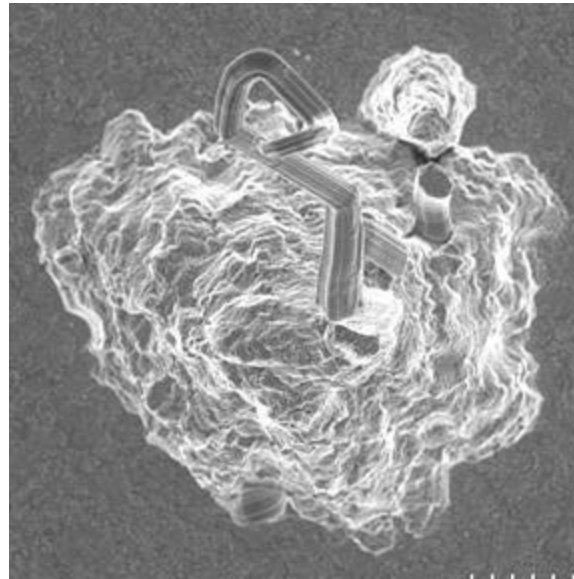
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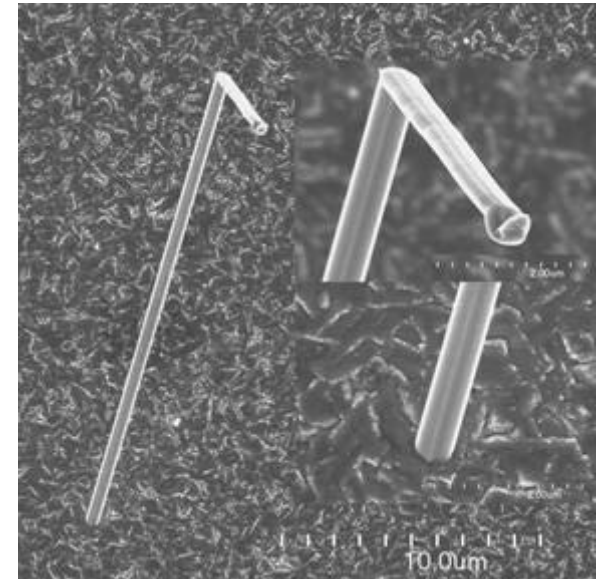
Whisker Examples



**Hillocks
(Lumps)**

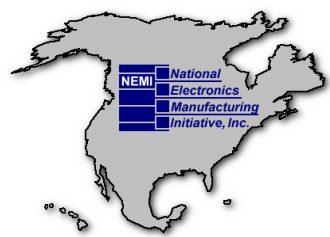


**Odd-Shaped
Eruptions (OSE)**



Needles

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Debate on Alloy Composition

Which alloy composition should we use?

Three main effects of solder alloy composition:

During assembly:

melting, wetting, reaction, solidification

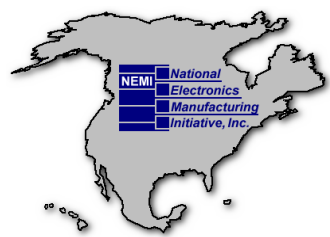
After assembly:

thermal fatigue resistance, fracture, tin pest (?)

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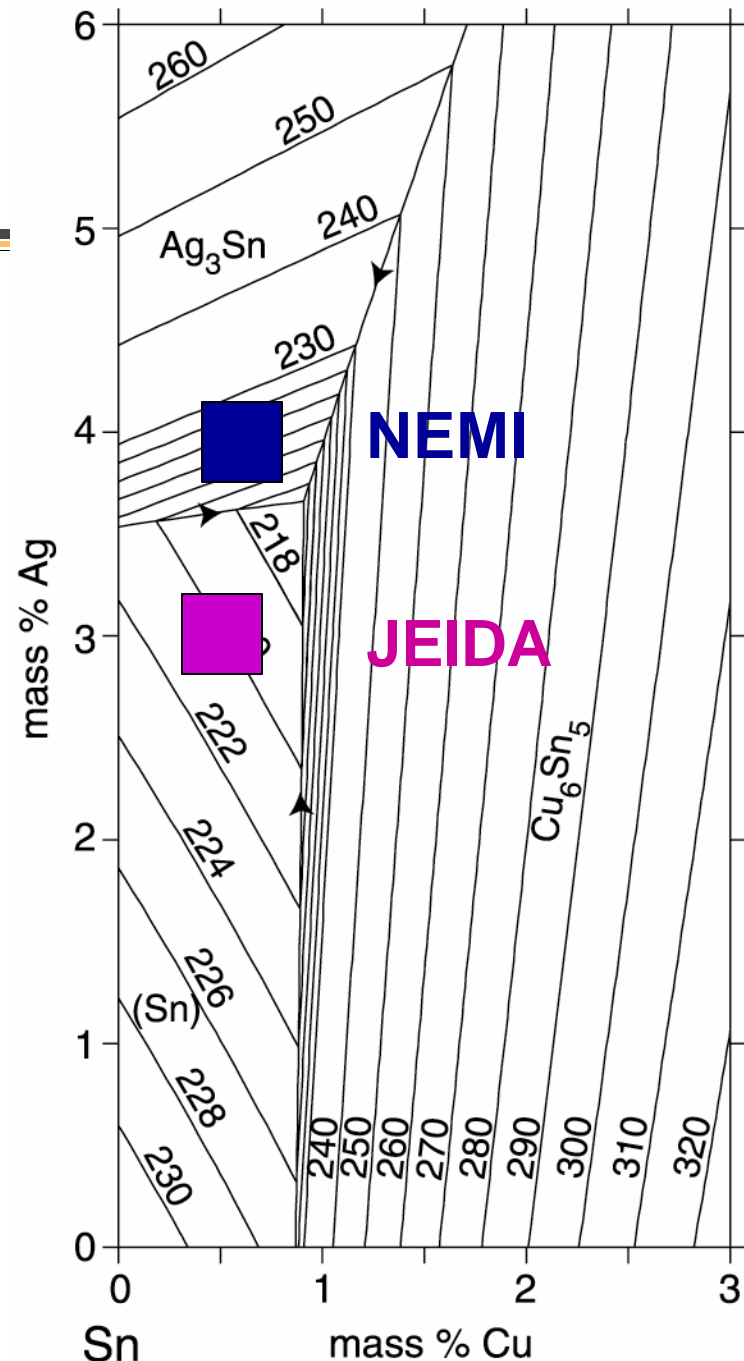
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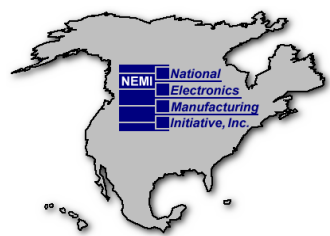
Phase Diagram of Sn-Ag-Cu Solders

Liquidus Temperatures of Sn-Ag-Cu solder system as a function of composition

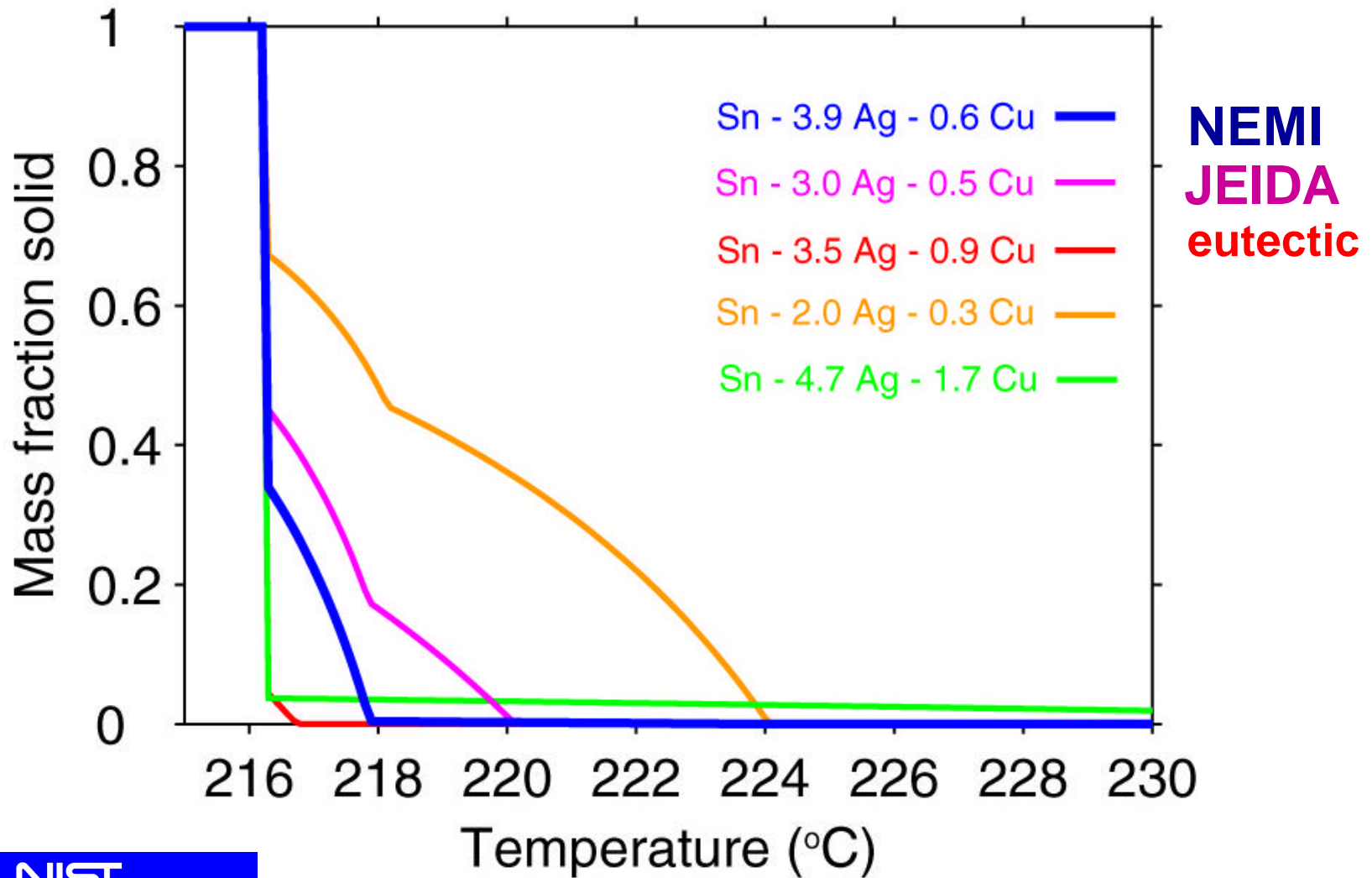
- Liquidus temperature is highest temperature where solid is present
- Lines correspond to compositions with the same liquidus temperature

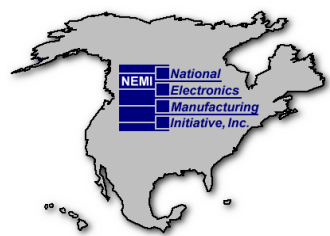


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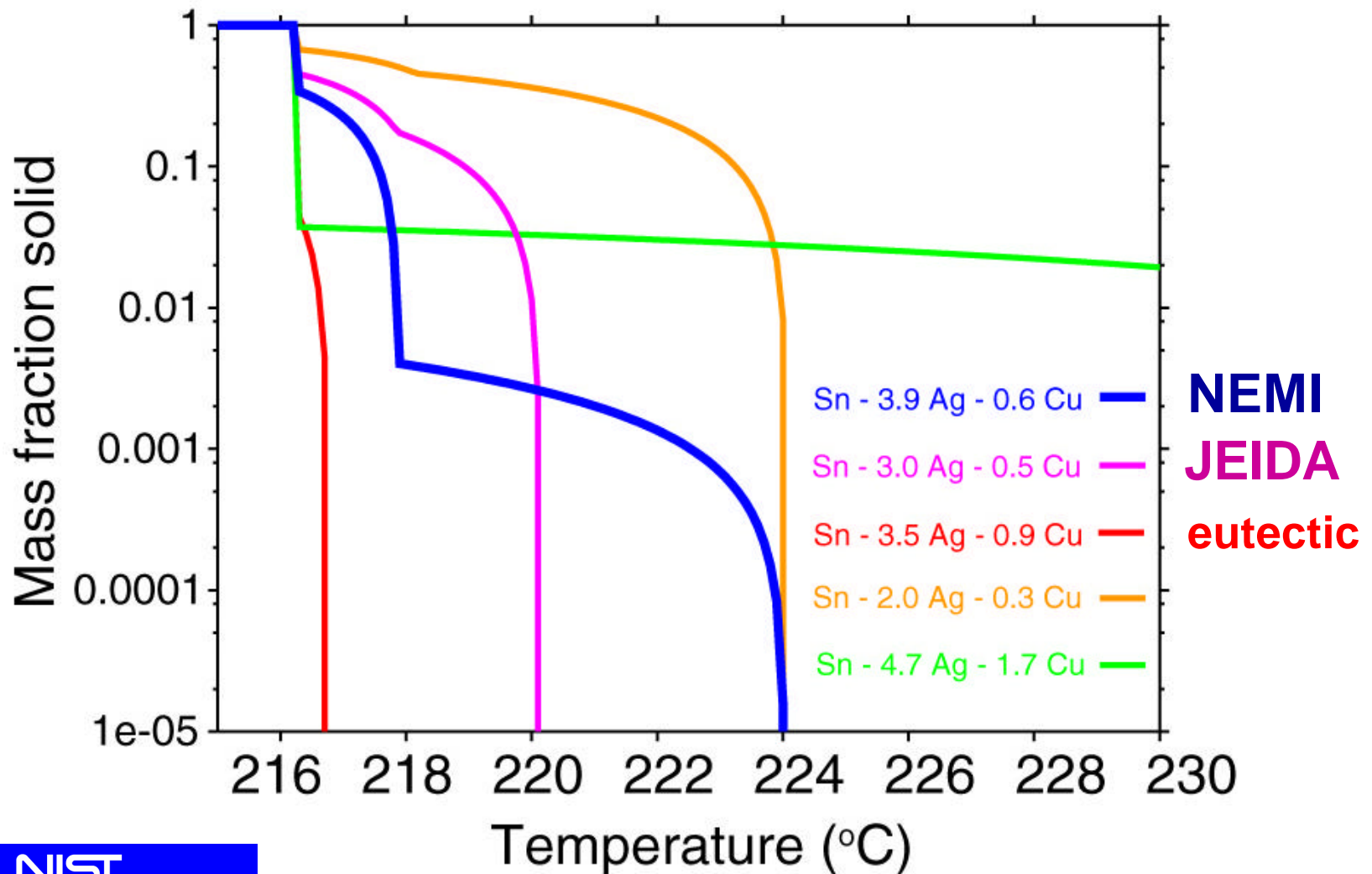


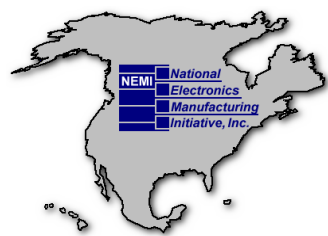
Melting Behavior of Sn-Ag-Cu solders





Melting Behavior of Sn-Ag-Cu solders



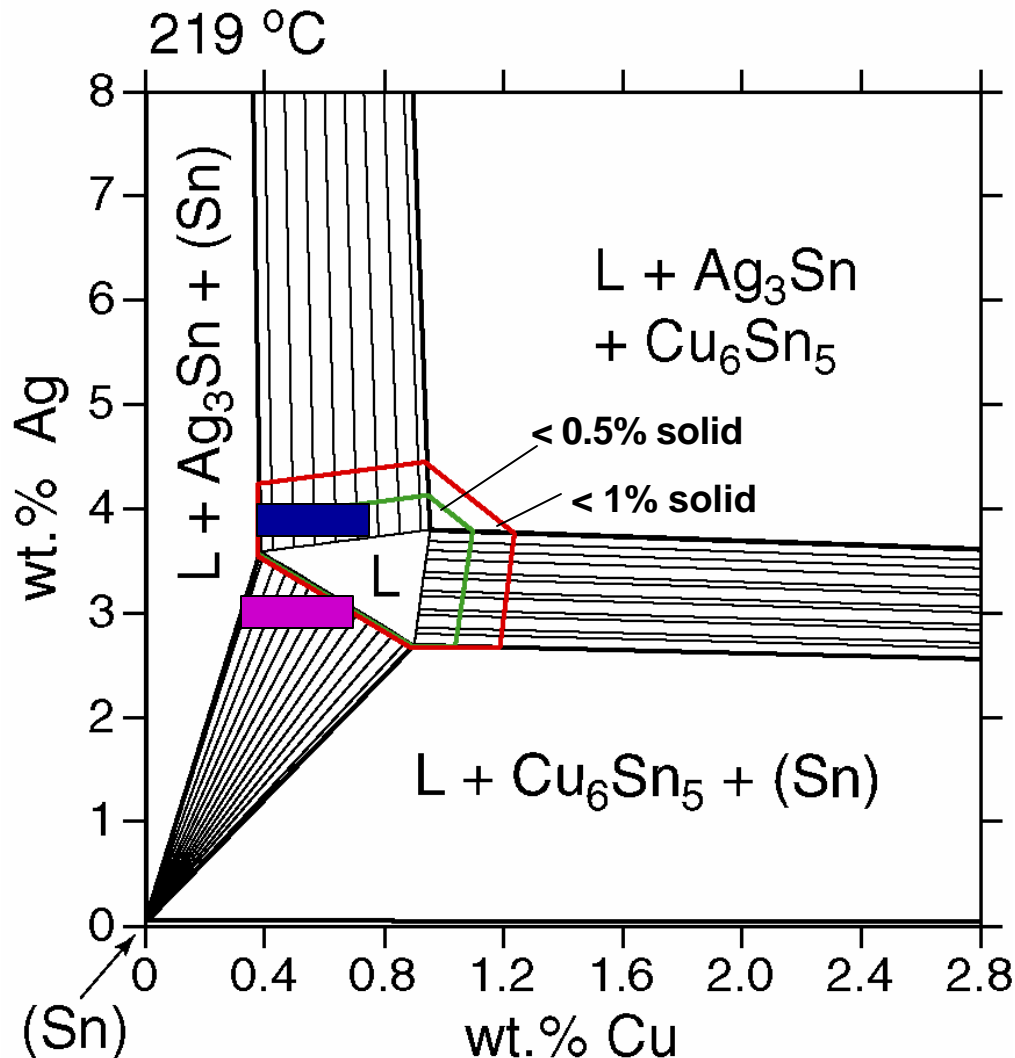


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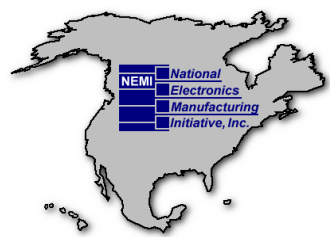
Inside **green** lines -
<0.5% solid

Inside **red** line -
<1% solid

NEMI
JEIDA



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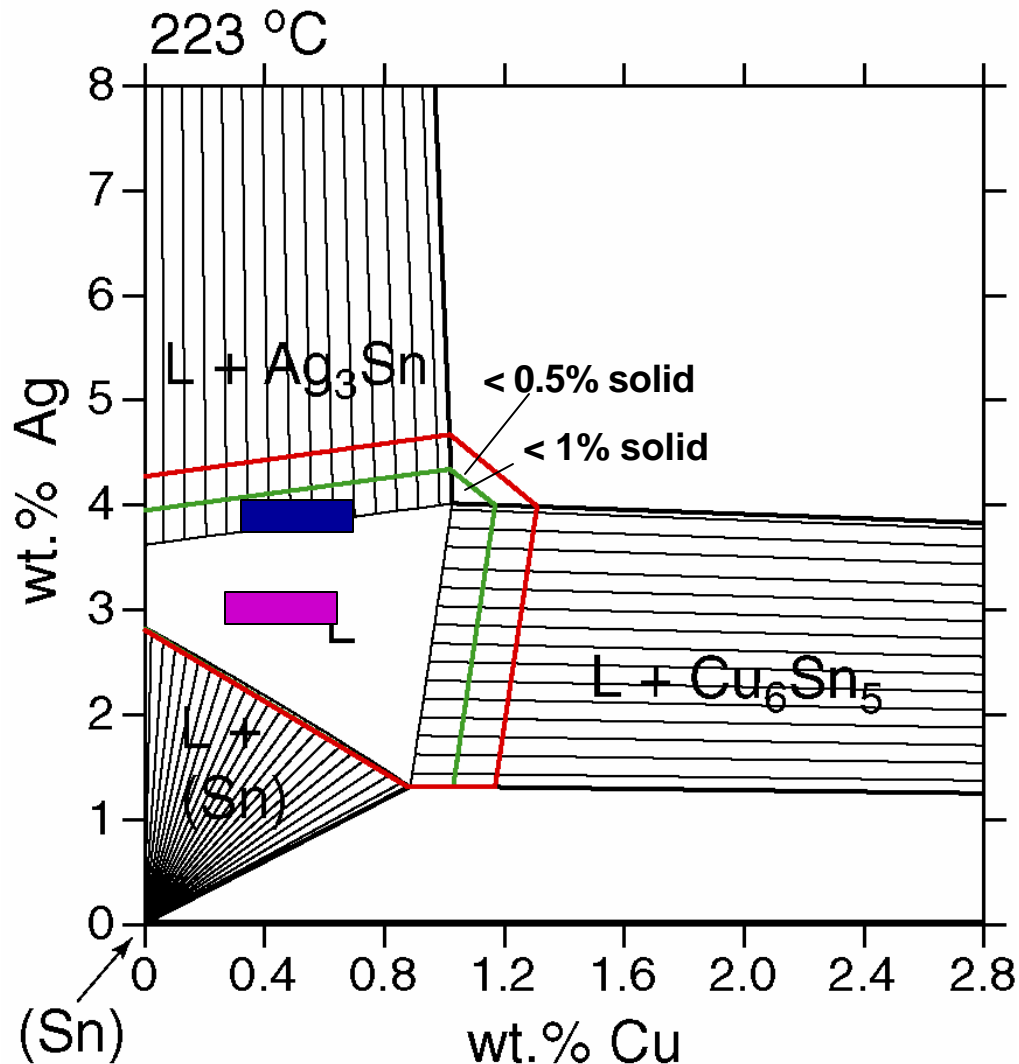


Melting Behavior of Sn-Ag-Cu solders

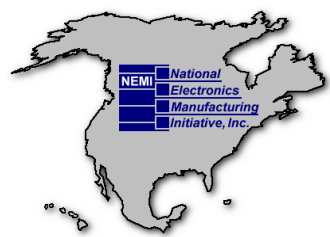
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NEMI
JEIDA



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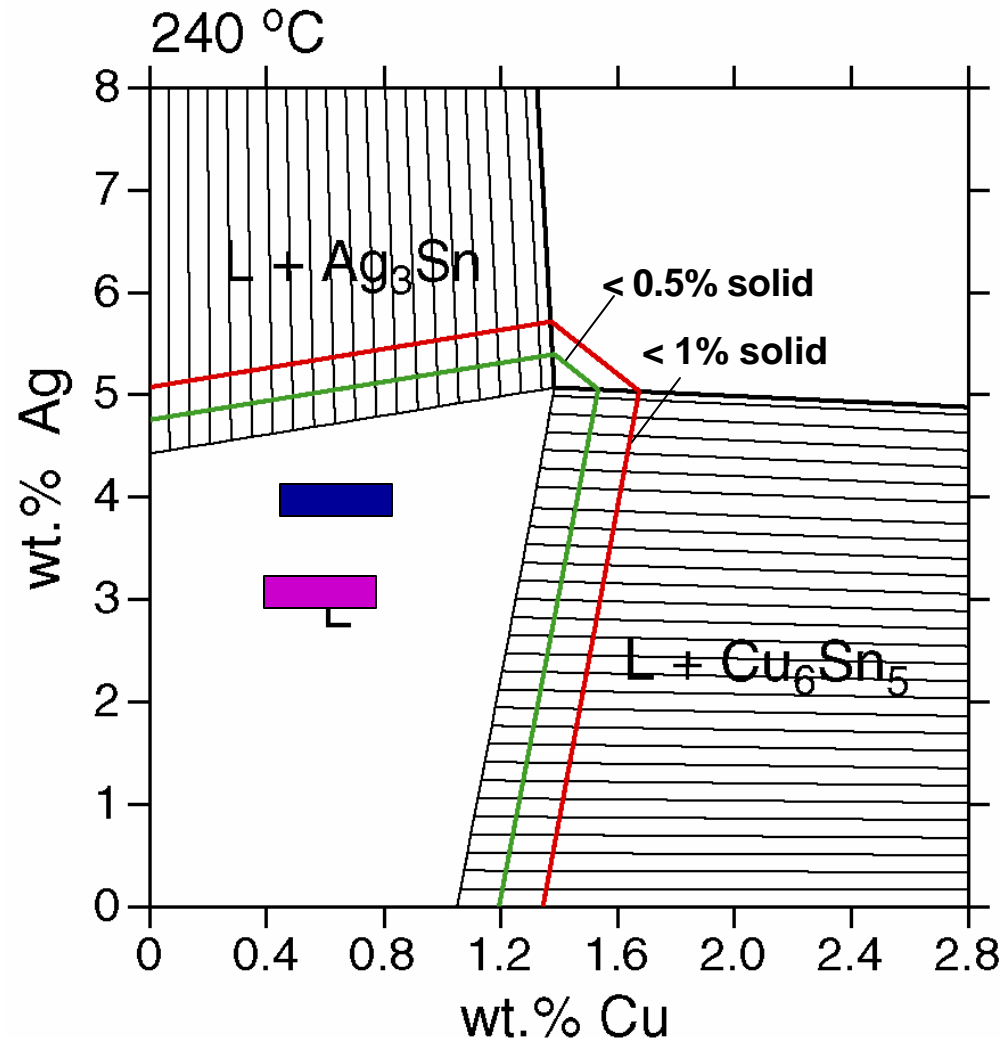


Melting Behavior of Sn-Ag-Cu solders

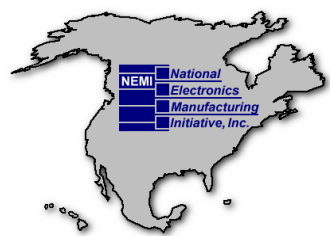
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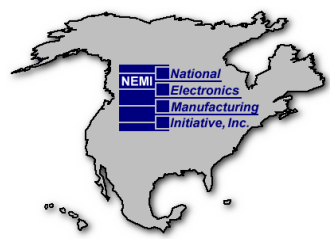


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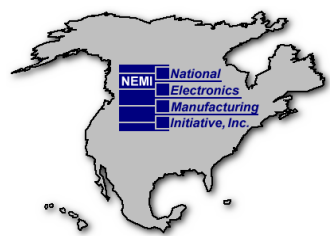
NEMI Committee Structure

- ***Tin Whisker Test Standards Committee (Test Group)***
 - ***First committee formed***
 - ***Objective to develop tests/test criteria for tin whiskers***
 - **42 companies including two governmental organizations**
 - **Nick Vo (Chair) – Motorola**
 - **Jack McCullen (Co-Chair) – Intel**
 - **Mark Kwoka (Co-Chair) – Intersil**
- ***Tin Whisker Modeling Group (Modeling Group)***
 - ***Formed to gain fundamental understanding of whisker formation***
 - **13 companies including one government organization.**
 - **George Galyon (Chair) – IBM**
 - **Maureen Williams (Co-Chair) – NIST**
 - **Irina Boguslavsky (Co-Chair) – EFECT, NEMI Consultant**



Tin Whisker Committees

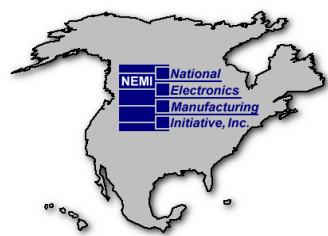
- ***Tin Whisker Users Group (Users Group)***
 - ***Formed by large companies with high reliability products to look at mitigation techniques***
 - **Started in late 2002**
 - **10 companies**
 - **George Galyon (Chair) – IBM**
 - **Richard Coyle (Co-Chair) – Lucent**



Test Team Members

- **Agilent**
- **Alcatel**
- **Allegro Microsystems**
- **AMD**
- **Analog Devices**
- **Boeing**
- **ChipPAC**
- **Cooper Bussmann**
- **Delphi Delco**
- **Engelhard Clal**
- **Enthone**
- **FCI Framatome**
- **Flextronics**
- **HP**
- **IBM**
- **Indium**
- **Infineon AG**
- **Intel (Co-Chair)**
- **Intersil (Co-Chair)**
- **IPC**
- **ITRI Soldertec**
- **Kemet**
- **Lockheed Martin**
- **Microchip**
- **Micro Semi**
- **Molex**
- **Motorola (Chair)**
- **NASA Goddard**
- **NIST**
- **NEMI**
- **On Semi**
- **Philips**
- **Raytheon**
- **Soldering Tech.**
- **Shipley**
- **Solectron**
- **ST Micro**
- **SUNY Binghamton**
- **SUNY Buffalo**
- **Technic**
- **Texas Instruments**
- **US Army**

Connect with and Strengthen Your Supply Chain



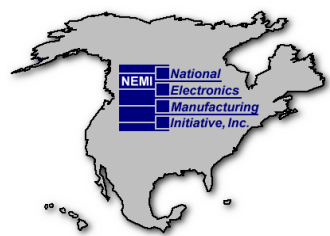
Overview of Whisker Committee Effort

- ***Direct:***

- Completed two comprehensive matrices, Phase 1 and 2, both for ICs and Passives.
- Proposed a definition for whiskers.
- Developed an inspection protocol.
- Identified three test methods recommended for plating finish development and characterization.
- Initiated test method document for potential release by JEDEC.
- Preparing matrix for Phase 3 DOE (validation and verification).

- ***Indirect:***

- Generated considerable momentum to understand whiskers and tin plating globally.



Whisker Definition

- **Purpose:**

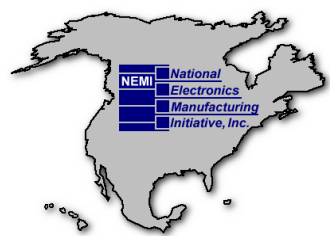
- To specify the physical and visual characteristics of a tin whisker for use in inspection (not intended as a metallurgical definition)

- **Tin Whisker:**

- A spontaneous columnar or cylindrical filament, which rarely branches, of tin emanating from the surface of a plating finish.

- **NOTE, For the purpose of inspection tin whiskers have the following characteristics:**

- *an aspect ratio (length/width) > 2;*
- *can be kinked, bent, twisted;*
- *generally have a consistent cross-sectional shape;*
- *rarely branch;*
- *and may have striations/rings around it.*



Further Information

<http://www.metallurgy.nist.gov/solder/>

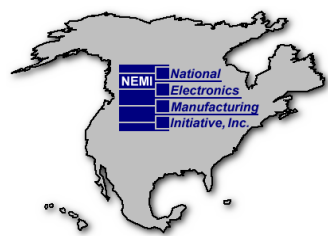
Extensive data available on thermodynamics, solder properties, ...

<http://www.nemi.org>

NIST

National Institute of Standards and Technology
Technology Administration, U.S. Department of Commerce

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Inspection Protocol

- *Scope:*

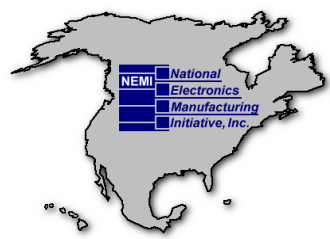
- Establish an inspection method to quantify the propensity of an electroplated lead finish to develop tin whiskers.
- Examples of electroplated lead finish uses: terminals of ICs and passives, connectors, printed circuit boards, etceteras.

- *Purpose:*

To recommend the equipment, locations and area of inspection, sample size and procedure for inspection.

- *Equipment:*

Scanning Electron Microscope (SEM) is recommended for whisker inspection and verification.

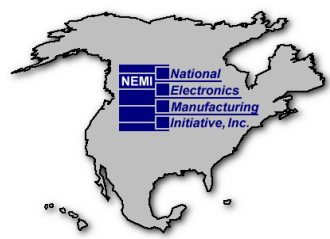


Inspection Protocol

- *Procedure:*

- Use carbon tape, paint, or other conductive material to attach the sample to the work holder to prevent charging.
 - When handling the samples, care must be taken to avoid contact with the electroplated finish. Contact with the finish may detach whiskers.
- Inspect each sample for whiskers at a magnification of 300X.
- The samples should be mounted in the best position (maximize inspection areas and view of critical locations such as bends) for SEM inspection.
- At each inspection:
 - Record the presence of hillocks, odd-shaped eruptions and whiskers (whiskers ≥ 10 microns in length or > 2 in aspect ratio).
 - Estimate and record the length of the longest whisker. Whisker length is measured from the termination/electroplate surface. Use higher power as necessary to determine length.
 - Record the whisker density representative of the level of whisker growth (number of whiskers within a $250\mu\text{m} \times 250\mu\text{m}$ area).

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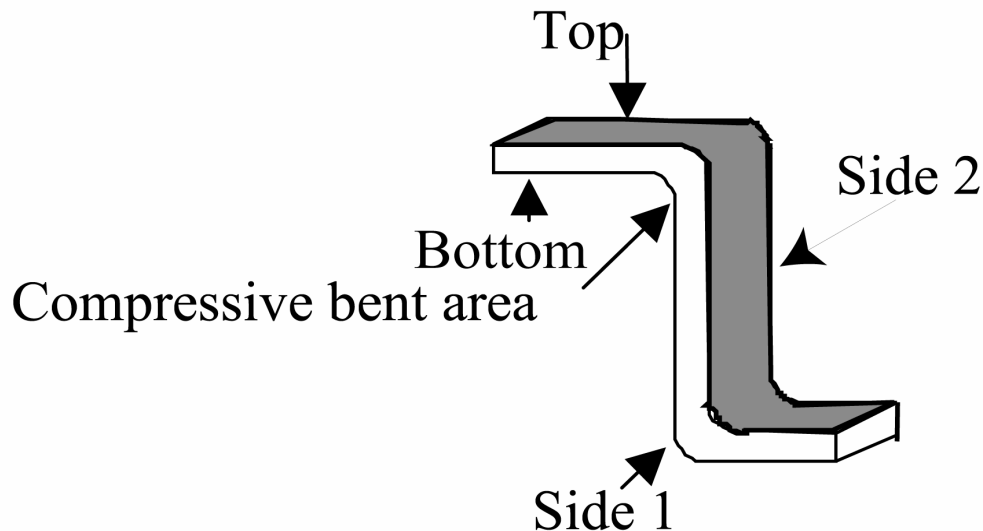


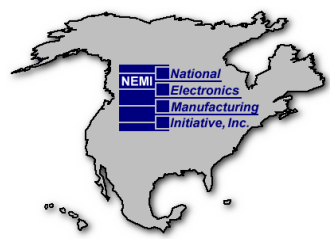
Inspection Protocol

- *Recommended Sample Sizes:*

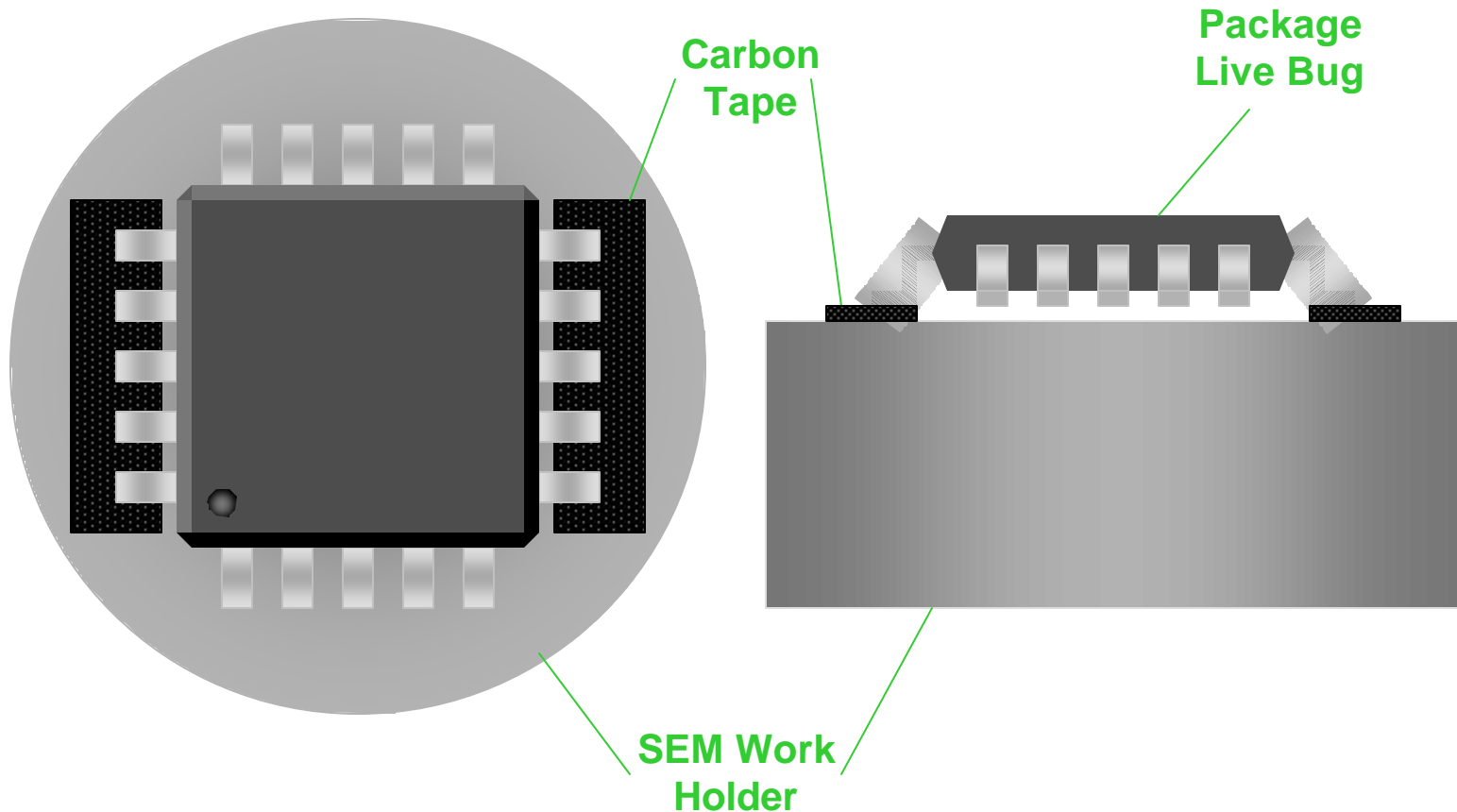
- **Example: Leaded Packages**

Inspect all plated surfaces, as practical, of 3 leads on a minimum of 3 packages randomly chosen from the test samples.

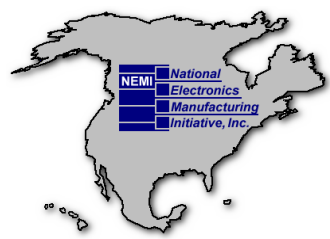




SEM Inspection Set-up

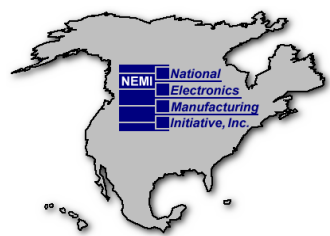


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Recommended Test Method

- **Prepared whisker test method for release by JEDEC.**
- **Purpose:**
 - **Provide test method to aid in the evaluation and development of plating finishes.**
 - **Provide an industry-standardized test for comparison of whisker-propensity for different plating systems and processes.**
 - **Not intended for use in reliability assessment or qualification.**



Recommended Test Method

- **Recommended Test Methods:**
 - **-55° C (+0, -10) / 85° C (+10, -0) air-air temperature cycle (20minutes/cycle)**
 - **60 + 5 ° C, 93 +2, -3 % RH**
 - **20 - 25 ° C, ~30-80% RH**
 - **All three tests are to be performed using separate samples**
 - **Each test condition is to be performed independently**