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Being "RoHS Exempt" in a Pb-free World

Michael Osterman, Ph. D. osterman@calce.umd.edu

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Electronic Products and Systems Center University of Maryland College Park, MD 20742 (301) 405-5323 http://www.calce.umd.edu

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ISO 9001-2000 Certified, 1999

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What is CALCE? http://www.calce.umd.edu Center for Advanced Life Cycle Engineering Education (founded 1987) is dedicated MS and PhD EPS program **EPS** PHM to providing a knowledge International visitors and resource base to Consortium Web seminars Consortium Short courses for 40-45 companies • Pre-competitive research support the development industry Pre-competitive research Research in fundamental and sustainment of methodologies to develop Risk assessment, management, and and implement prognostics competitive electronic mitigation for electronics and health management systems. components, products and CALCE systems. Center for Advance Life Cycle Engineering Areas of Lab ~\$5 million/yr Research • Physics of Failure Services Contracts • Design of Reliability Larger programs Small jobs • Accelerated Qualification Some past programs: Fee-for-service Power Electronics (Navy) Proprietary work • Supply-chain Management • Embedded Passives (NIST) Use of CALCE Tools & Long-term • Prognostics Risk Management (USAF) Methods Pb-free Reliability • Life Assessment (NASA) Turnkey capabilities Obsolescence MEMS (NASA.NSWC) "Fire-fighting" Study Aging Intermetallic formation PCB surface finish ~26 Faculty and Research Staff FCM ~19 M.S. students Solder interconnects ~66 Ph.D. students

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CALCE Long-term Pb-free Reliability Study

- **Participating Companies**: BAE Systems, Boeing, Emerson, General Dynamics, Goodrich Control Systems, Hamilton-Sundstrand, Honeywell, Lutron, NSWC, Raytheon Systems Company, Rockwell Collins, Rolls-Royce, Schlumberger
- **Goal:** to determine critical information related to the long-term (5-15 years) reliability of lead-free assemblies.
- Expected Results:
 - Examination of impact of Pb-free board finishes
 - Long-term low temperature storage effects on solder joints (tin pest)
 - Vibration fatigue reliability after long-term storage at high and low temperatures
 - Aged and unaged reliability of solder joints under combined temperature cycling and vibration
 - Electro-chemical migration in Pb-free assemblies after long-term exposure to temperature, humidity, and electrical bias
 - Reliability of single-sided through-hole assemblies under temperature cycling, vibration, and combined temperature cycling and vibration
- For more information contact: *pecht@calce.umd.edu* or *osterman@calce.umd.edu*.



Lead (Pb)-based vs. Lead (Pb)-free Soldering

Why lead(Pb)-based solders are used ?

- Low cost and abundant supply
- Forms a reliable metallurgical joint
- Good manufacturability
- Excellent history of reliable use.

Why migrate to lead(Pb)-free ?

- Government legislations
- Marketing advantage ("green product")
- Inability to obtain lead-based parts
- Increased cost of maintaining lead-based assemblies
- Backward compatibility issues

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Pb-free Legislation in Europe

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- Waste Electrical and Electronic Equipment (WEEE) legislation aims to increase recycling and recovery of end-of-life electronics.
 - Producers (manufacturers, sellers, distributors) will be responsible for financing the collection, treatment, recovery, and disposal of WEEE from private households deposited at collection facilities by 13 August 2005.
- Restriction of Hazardous Substances (RoHS) legislation prohibits the use of lead and other harmful substances (i.e., mercury, cadmium, chromium, PBBs, PBDEs) in new electrical and electronic equipment put on the market after 1 July 2006 [1].
 - Pb-free is defined as <0.1% Pb by weight in a homogeneous materials
 - Self-certification, market surveillance
 - Provides exemptions (e.g. high lead solders for die attach)
 - Defense and aerospace not in scope
 - Batteries not in scope
- Both articles were issued by the European Union (EU) January 2003.

Pb-free Legislation in China

"Administrative Measure for the Control of Pollution Caused by Electronic Information Products" (Administrative Measure) formulated by the Ministry of Information Industry (MII), was issued February 28, 2006.

- Covers same materials as EU RoHS but State may added others.
- Defines what products are covered
 - Electronic Information Products refers to the following products and their accessories manufactured by using electronic information technology: electronic radar products, electronic communication products, broadcast television products, computer products, household electronic products, electronic measurement instrument products, electronic products for professional use, electronic component products, electronic application products, electronic material products, etc.
- There are no exemptions
- Labeling and marking is required
- Certification by a Chinese Lab is required
- Becomes effective March 2007

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Pb-free Initiatives in U.S.

• The "Reid Bill" introduced in 1991 and the subsequent "Lead Exposure Reduction Act of 1993" were not adopted for electronic equipment due to strong opposition from the US electronic industry.

- There were no known alternatives at that time to replace tin-lead solder.

- In 2001, the Environmental Protection Agency (EPA) lowered the Toxic Release Inventory (TRI) reporting threshold for sites releasing lead and lead compounds to the environment to 100 pounds per year.
- California Senate Bill (SB20 and amendment SB50), "Electronic Waste Recycling Act of 2003" was released in 2003.
- This regulations prohibits an electronic device from being sold or offered for sales in the State of California if the device is under the scope of RoHS directive on 1 January 2007 or the effective date of RoHS directive (whichever date is later).
 - California is the first state in U.S. which bans the usage of brominated flame retardants in electrical and electronic products. The ban will be effective on 1 January 2008.
- 52 bills have been introduced in 20 states

Pb-free Electronics Market Situation

Sector	Market share (2004 data)		Examples
Telecom	41 %	\$ 36 billion	Ericsson, Infineon, Motorola
Computers	32 %	\$28 billion	Dell, HP, IBM, NEC, Toshiba
Consumer	15 %	\$13 billion	Fujitsu, Hitachi, Matsushita, NEC, Philips, Sony, Toshiba
Automotive	6 %	\$ 5 billion	Delphi Automotive Systems, AB Automotive Electronics
Industrial	5 %	\$ 4 billion	Emerson -Astec Power, Ericsson Power Modules
Avionics	1.0/	¢ 1 billion	Boeing, Airbus
Military/Space	1 %	\$ 1 billion	Rockwell Collins

Exempted or not in scope industries

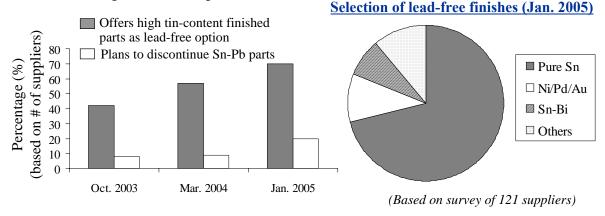
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Electronic Part Suppliers Pb-free Reaction

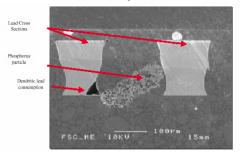
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- The electronics industry is in the process of eliminating lead (Pb) from the electrical and electronic products, driven by legislative mandate in EU (July 1, 2006) and a market differentiation.
- Part manufactures are also changing mold components to meet higher reflow requirements and to be RoHS compliant.
- The electronic part market trend implies a high likelihood of electronic products containing tin-rich component finishes.



Pb-free Supply Chain Issues

As a result of RoHS legislation and the move to higher temperature reflow temperatures, material changes by part manufacturers have already resulted in compromised product reliability.

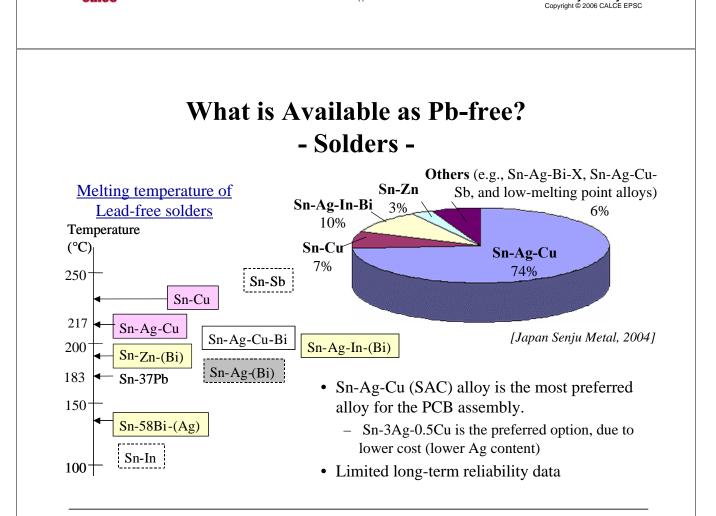




Change of electrolyte in electrolytic capacitors produced excessive bulging and early failure

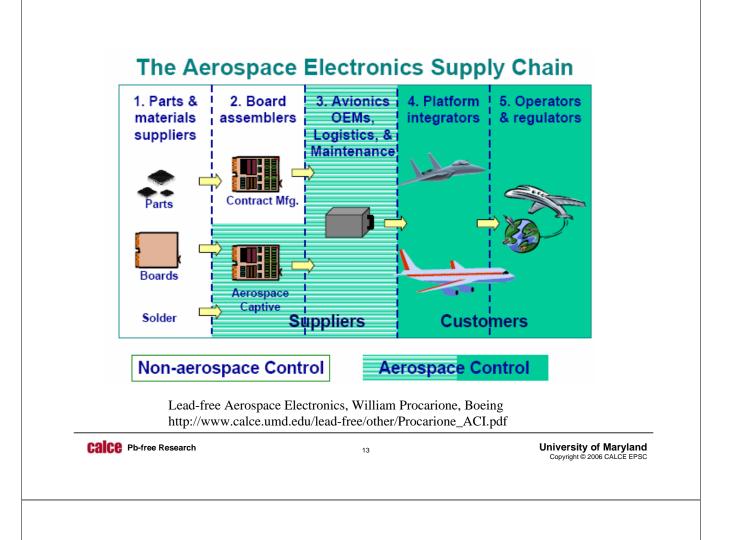
Changes in flame retardant in molding compound results in early failure due to internal corrosion and shorting.

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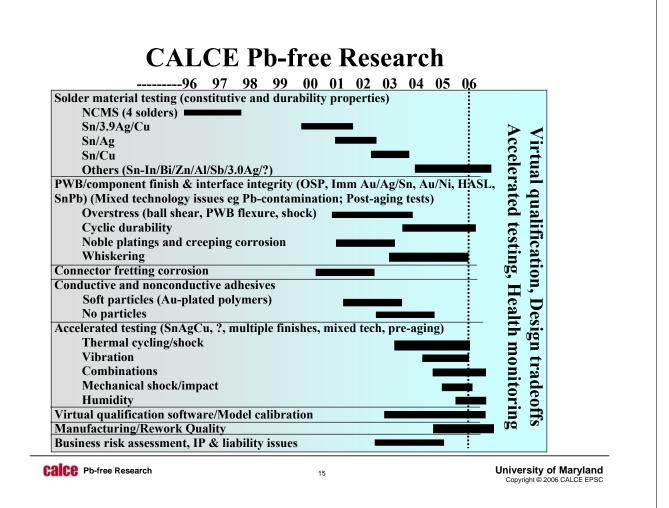
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Pb-free Transition Challenges

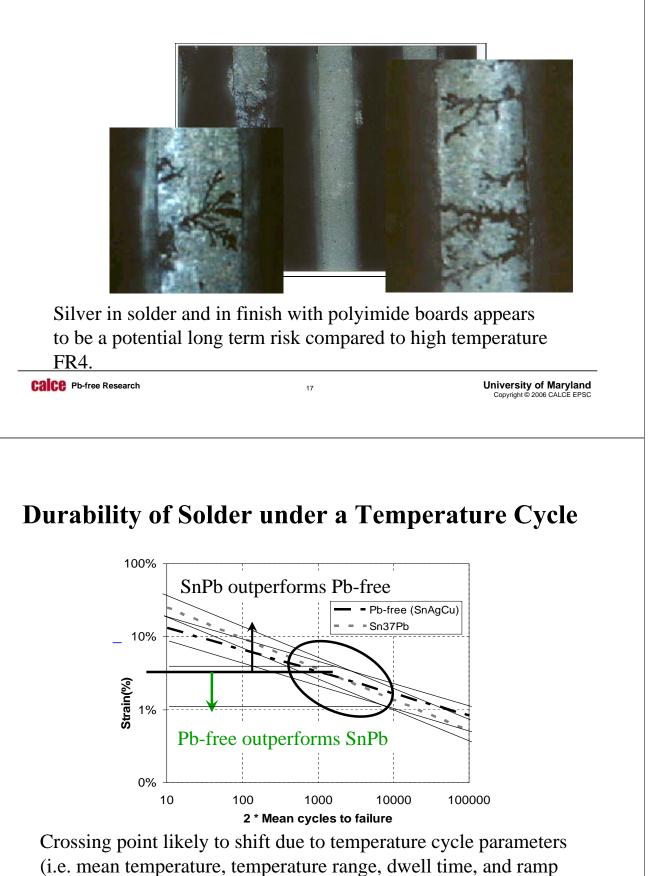
- Technical: potential new design, manufacturing, quality and reliability issues, as a result of different materials (e.g. solders, surface finishes) and higher process temperature relative to tin-lead soldering
- **Logistic:** supply chain, inventory management, adherence to reporting requirements (e.g., compliance certification), intellectual property, legacy products
- Economic: costs for materials (solder, board dielectric), parts (components withstanding 260°C reflow), research, development and manufacturing (equipment capital, process re-qualification, use of higher process temperature and/or nitrogen atmosphere), education/training
- **Customers/legal:** differences in regional legislations and public opinion



Pb-free PCB Assembly Reliability Concerns

- PWB and Part Metallization
 - Thermal fatigue of PTHs (barrel cracking, delamination)
 - Conductive filament formation (loss of insulation resistance)
 - Electrochemical migration (loss of insulation resistance)
 - Tin Whiskers
 - Tin Pest
- Part Concerns
 - Reflow Coplanarity
 - Multiple Layer Ceramic Capacitor Flex Cracking
- Separable contacts (increase in contact resistance)
 - Fretting corrosion
- Permanent interconnects
 - Fatigue (temperature cycling / mechanical vibrations)
 - Shock
 - Electromigration (high current density and temperature)

Electrochemical Migration



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CALCE Pb-free Solder Temperature Cycling Reliability Testing



Packages Under Test

- 68-pin LCCC: 24mm × 24mm
- 84-pin LCCC: 30mm × 30mm
- PCB Board: 130 x 93 x 2.5 mm, FR4

Solders Completed

- Indium SMQ 230 Sn95.4/Ag3.9/Cu0.7
- Indium SMQ 230 Sn96.5/Ag3.5
- Indium SMQ 92J Sn63/Pb37
- Solder Under Test
 - Aim SN100C Sn/Cu/Ni(.5) w/254 flux
 - $-\operatorname{Aim}$ SAC 305 w/254 flux
 - Indium SMQ92J Sn61.5/Pb 36.5/Ag2

Test details

- 16 samples in each test condition
- Resistance of each chip is monitored by a data logger.
- Temperature is recorded at the center of each card.
- Test continues until 100 % failure occurs.
- Cross sectioning was performed on failed test specimens to verify a solder interconnect failure.

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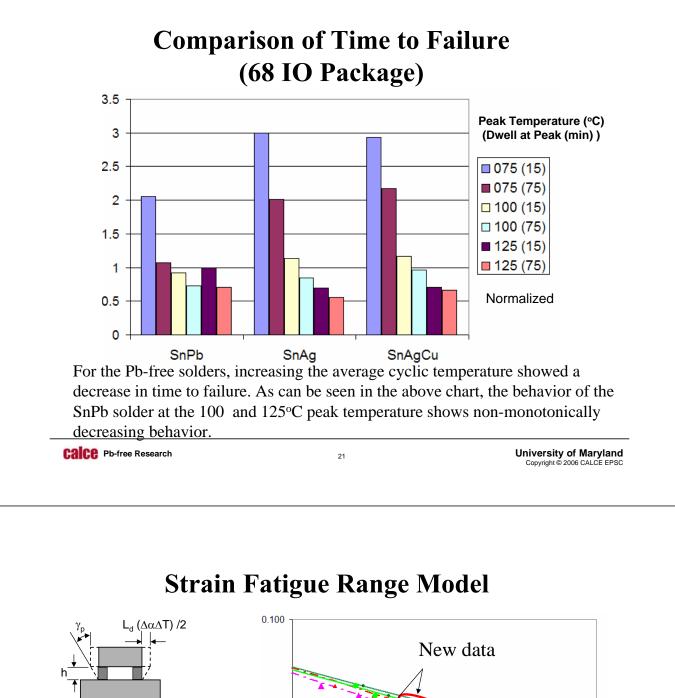
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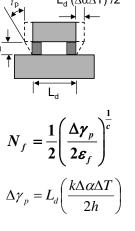
Experimental Test Matrix

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Min. Temp. Max. Temp. range **Dwell Time at** Test Status Temp.(°C) Max temp* (min) (°C) (°C) 0 100 100 Completed 1 15 2 -25 75 100 15 Completed 3 25 125 100 15 Completed 4 0 75 75 100 Completed 5 25 125 100 75 Completed 0 6 100 100 75 Completed 7 15 85 75 15 Completed 8 15 85 75 75 Completed

*Dwell at minimum temperature is set to be 15 minutes.

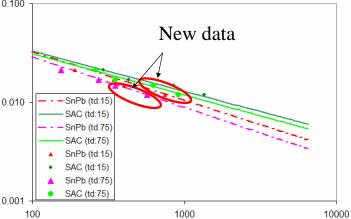




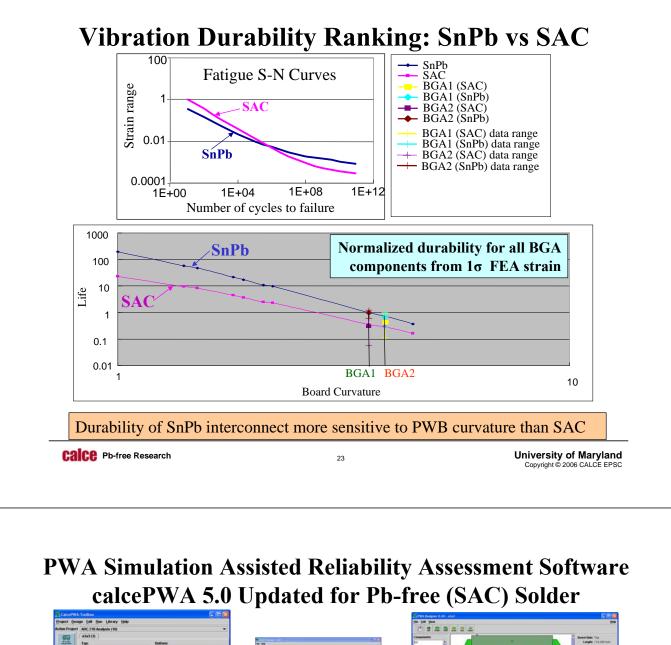
For eutectic solder,

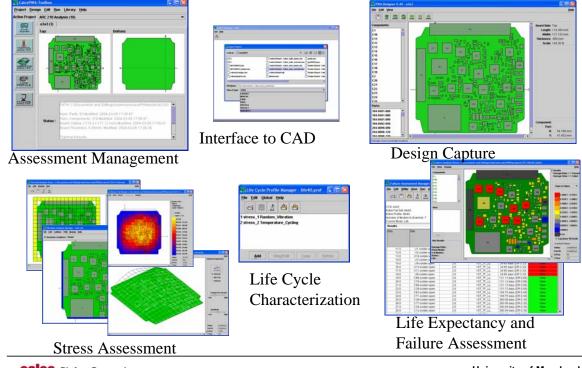
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$$\varepsilon_{\rm f} = {\rm Constant}$$

$$c = c_0 + c_1 T_{sj} + c_2 \ln\left(1 + \frac{360}{t_d}\right)$$



Latest test results fit on the previously defined curves. Noted limitations for Sn37Pb no increase in life can occur at the high temperature end. However, a more immediate concern is that life will no reduce as the average solder joint temperature continues to decrease.





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Define a Clear Pb-free Policy

• Maintain conventional Pb-based products

- Determine if you are exempt
- Examine costs and availability of parts and processes
- May need to consider life time buys
- Define policy for handling Pb-free parts (e.g. tin whiskers)
 - Mitigation strategies
 - Quality and reliability assurance strategies
- Communicate plan to suppliers and customers
- Convert to a Pb-free products
 - Define a plan of action which considers
 - Current and future products
 - Availability of parts
 - Implement a part management and selection process for Pb-free
 - Define timeline for transition
 - Update quality and reliability assurance plans
 - Communicate plan to suppliers and customers
- Combination of the two

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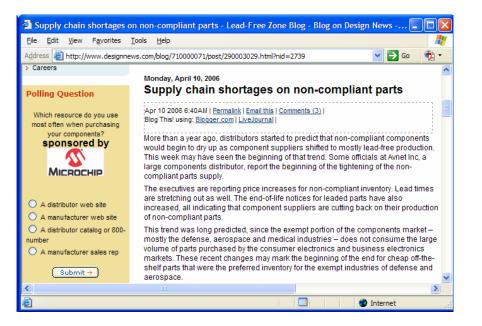
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Lead-based Part Supply Interruption

- Lead-based products may become unavailable as electronic suppliers transition to leadfree technology. Consequently, manufacturers of exempted applications (e.g., medical electronics) that develop non-RoHS compliant products may be exposed to the discontinuation of parts, making design, production and maintenance risky.
 - Potential solder joint reliability issues associated with assembling lead-free parts to a PCB using tin-lead solder and processes.
- Manufacturers relying on lead-based technologies should
 - Monitor product and process change notices (PCNs)
 - Identify whether their suppliers have any plans to discontinue the production of lead-based products. If so, the time line for the discontinuation should be obtained.
- Life time buy practices are a possible solution to resolve supply interruptions. Potential disadvantages include:
 - Significant one-time expenditure
 - Increased inventory on balance sheet
 - Requirement for proper storage space (with appropriate temperature, humidity, and handling conditions)
 - Potential for future unplanned requirements (e.g., significant changes in product technology or upgrades).

Increased Cost of Non-compliant Parts



http://www.designnews.com/blog/710000071/post/290003029.html?nid=2739

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Options with Inability to Obtain Pb-based Parts

- Add the lead back to the parts
 - For leaded parts, parts may be soldered dipped
 - Potential reliability issue due to handling and heating
 - Additional cost
 - Area array parts may be reballed
 - Part manufacturers will not warranty these parts
 - Potential reliability issue due to handling and heating
 - Additional cost
 - Discrete parts may be reprocessed (AEM Inc. provides such a service)
- Use as is
 - Mixed solder issues
 - Tin whiskers.
- Design out the part

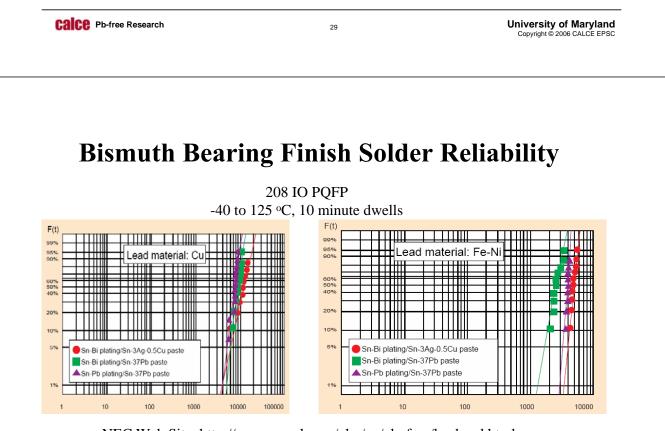
Actions one and two have reliability risks are not very attractive and the third option may not be feasible.

Issues with Using Pb-free Parts in a Pb-based Assembly

Backward incompatibility (component with lead-free termination soldered with tin-lead solder and a tin-lead temperature profile)

- Pb-free finished terminals containing high concentrations of Bismuth (Bi) >4% may produce poor joints.
- Ball grid arrays (BGA) packages with Sn-Ag-Cu solder balls may not be compatible with tin-lead solder, as combination of these materials can result in "cold" joint formation during assembly. Higher reflow temperatures may be needed to avoid this issue but this give rise to other issues.

- Tin Whiskers



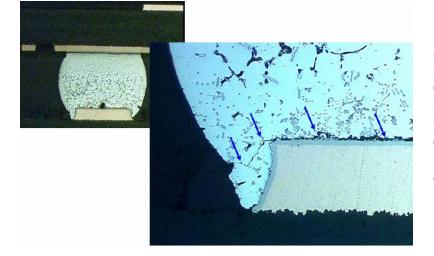
NEC Web Site, http://www.necel.com/pkg/en/pb_free/leadsmd.html

For copper leaded packages, Sn2Bi has not been reported be a reliability risk. However, NEC data indicates a potential issue with iron based lead frames. These results are supported by other reports.

Accelerated Life Testing

CALCE has conducted and participated in extensive accelerated life testing to understand the reliability of Pb-free assembled hardware. ■ Pb-free □ Pb-free/SnPb ■ SnPb/Pb-free □ SnPb **Probability - Weibull** 99.00 flexBGA 3000 90.00 **Two populations** cycles to failure 50.00 2000 Unreliability, F(t) RA 1000 10.00 5.00 TaBGA 0 **Sn**37 PBGA TaBGA FlexBGA µBGA 1.00 The effect of Pb contamination in mixed 100.00 1000.00 10000.00 technologies Time, (t) Calce Pb-free Research University of Maryland Copyright © 2006 CALCE EPSC 31

SAC BGA in a Sn37Pb Assembly Process



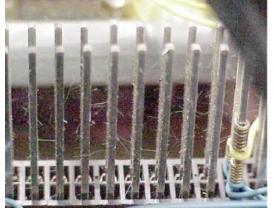
A SAC BGA was assembled under a conventional Sn37Pb solder process, failure under temperature cycling (-55 to 125°C) occurred in less than 150 cycles.

Hillman, D., Wells, M., and Cho, K., "The Impact of Reflowing a Pb-free Solder Alloy Using A Tin/Lead Solder Alloy Reflow Profile on Solder Joint Reliability" <u>http://www.aciusa.org/lfpdf/lfjournal/CMAP_paper_Rev_A_(2).pdf</u> Last Accessed 1/22/2006

Risks from Tin Whiskers

- Major failure modes and mechanism of tin whiskers are:
 - Electrical short: permanent (typically <10mA), intermittent (typically >10mA)
 - Metal vapor (plasma) arcing in vacuum and low pressure
 - Contamination
- Various sectors of the electronics industry, including military, medical, telecommunications and commercial applications, have experienced field failures induced by tin whiskers.

Pure tin plated connector pins

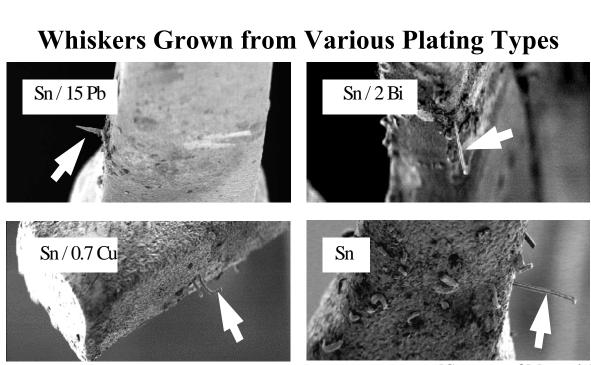


10 years in the field (reported in 2000) [Courtesy of NASA Goddard]

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[Courtesy of Motorola]

One reported observation based on 13-week period for the longest whiskers showed: Sn-15Pb $(40\mu m) < Sn-2Bi < Sn < Sn-Cu (170\mu m)$

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Factors the Influencing Tin Whisker Formation

- Base material
 - Formation of intermetallic compounds (e.g., Cu₆Sn₅), especially within the tin grain boundaries
 - Coefficient of thermal expansion (CTE) mismatch between the plating material and substrate
- Bath chemistry/plating process parameters seem to have a significant influence on whiskering.
 - NIST study on copper contamination shows that higher copper content reduces a grain size and increases a compressive stress level in the deposit, which may result in higher tin whisker growth propensity.
- Environment
 - Temperature cycling
 - Steady state temperature
 - Temperature/humidity
 - Compressive stresses, such as those introduced by torquing of a nut or a screw
 - Bending of the surface after plating
 - Scratches or nicks in the plating introduced by handling
- Generally agreed that compressive stress in the finish gives rise to whisker formation.

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CALCE Tin Whisker Risk Assessment Software

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A software package that calculates the probability of tin whisker failure for circuit card assemblies and products. Based on long-term test data.

File Edit	le Edit Risk Help				<mark>® Whisker</mark> File Edit	in itestatio					
	_ ·				Elle Fair						
	E D	RUN RESULT			Whisk	er Risk A	ssessi	ment Resul	lts		
	Number of Pairs	Conductor Spacing (Gap) mm	Conductor Area mm^2	Conducto Finish		Life Target : 1 Risk Level : 1		(10 Years)			
pqfp128	124.0	0.25	3.43	brightSn/		Number of Conductor Pairs	Spacing (mm)	Finish	Containment	Longest Whisker (mm)	PF (%)
tsop32	30.0	0.25	1.0	brightSn/	pqfp128	124	.25	brightSn/Brass	0	0.3366	0.08
					tsop32	30	.25	brightSn/Brass	0	0.3274	0.03
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Reprocessing Pb-free Parts

Converting a existing Pb-free part to a Pb-based part is possible, however there are reliability risks which have not been adequately assessed.

- Pb-free BGAs may be reballed. CALCE expects to introduce a industry project in FY07 to assess the yield, structural impact, and solder joint reliability of reballed Pb-free parts.
- Pb-free leaded parts may be dipped in SnPb solder or chemically reprocessed. CALCE has conducted whisker growth studies on reprocessed tin finished coupons and participated in an industry study on the impact of solder dipping on select parts.

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CALCE EPSC FY07 Proposal P07-O2 Reliability of Pb-free and Reballed PBGAs in SnPb Assembly Process

This project will require at least of two critical sponsors.

This project will provide critical test data to assess the compatibility of Pb-free (SnAgCu (SAC) solder balls) and reballed PBGAS with the conventional SnPb solder assembly. Flip-chip and wirebond BGA package types will be identified and selected for this study.

Parts will be subjected to a commercial reballing process and physical degradation due to the reballing process will be examined using nondestructive and destructive physical analysis techniques.

Test assemblies will fabricated as defined below

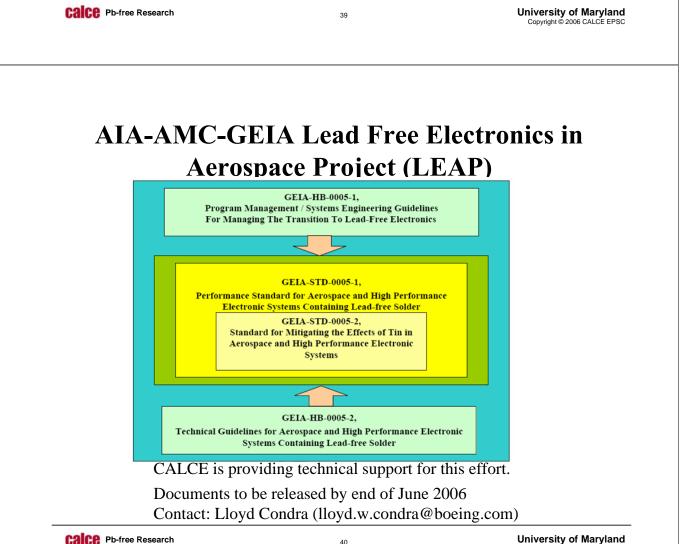
	Part	Solder Paste	Reflow Profile
Cell 1	Reballed with SnPb	SnPb	SnPb
Cell 2	Virgin	SnPb	SnAgCu
Cell 3	Virgin	SnAgCu	SnAgCu

Solder interconnect reliability testing (i.e. temperature cycling) will be conducted on test assemblies.

Issues With Using SnPb Parts in a Pb-free Assembly

Forward incompatibility (component with lead-based termination soldered using lead-free solder and the appropriate lead-free temperature profile)

- Lead in lead-based component termination (leadframe or solder ball) can interact with bismuth-containing lead-free solder (e.g., Sn-Bi, Sn-Ag-Bi, Sn-Zn-Bi, Sn-Ag-Cu-Bi) during assembly, to form a low-melting point phase (Sn-51Bi-32Pb, melting point = 96° C) which can cause cracking in solder joints.
- Lead-containing component termination (leadframe or solder ball) or PCB pad finish with lead-free Sn-Ag-Cu or Sn-Ag solder can result in poor solder joint mechanical reliability, due to the formation of a Sn-Pb-Ag eutectic (62Sn-36Pb-2Ag, melting point = 179° C) during the cooling phase of the assembly process. Pockets of this alloy can act as voids in the solder joint.
- Temperature sensitivity of parts (popcorning)
- Tin Whiskers



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JCAA/JG-PP Lead Solder Project

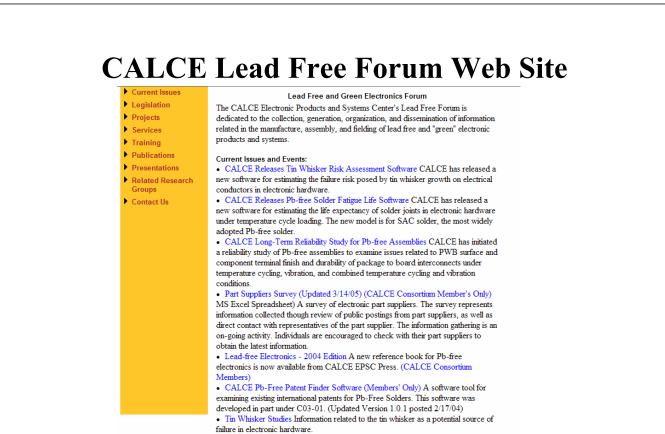
- International collaborative effort
 - Project begun under the auspices of the U.S. DoD's Joint Group on Pollution Prevention (JG-PP), then turned over to the DoD's Joint Council on Aging Aircraft (JCAA) (concerned about numerous lead-free solder logistical and repair issues)
 - DoD, NASA, U.S. and European defense and OEMs, and component & solder suppliers
- Key question being addressed: To what extent does lead-free solder affect the electrical reliability of military/space electronics as compared to tin-lead solder?
- Study basically complete
- CALCE is providing reliability modeling support for this project

Contact: Kurt Kessel (<u>Kurt.Kessel-1@ksc.nasa.gov</u>) http://www.jgpp.com/projects/lead_free_soldering/lead_free_soldering.html

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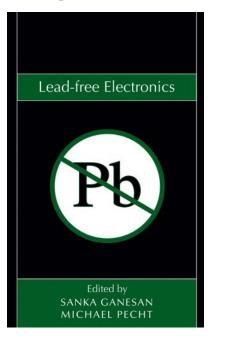
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http://www.calce.umd.edu/lead-free/

Lead-free Electronics Book

http://www.calce.umd.edu/general/published/books/books.html



Chapter 1 Lead-free Electronics: Overview Chapter 2 Lead-Free legislations, Exemptions & Compliance Chapter 3 Lead-free Alloys: Overview Chapter 4 Lead-free Manufacturing Chapter 5 Review of Lead-free Solder Joint Reliability Chapter 6 Constitutive Properties and Durability of Selected Lead-free Solders Chapter 7 Interfacial Reactions and Performance of Lead-free Joints Chapter 8 Conductive Adhesives Chapter 9 Component-level Issues in Lead-free Electronics Chapter 10 Tin Whiskers in Electronics Chapter 11 Lead-free Separable Contacts and Connectors Chapter 12 Intellectual Property Chapter 13 Costs to Lead-free Migration Chapter 14 Lead-free Technologies in the Japanese Electronics Industry Chapter 15 Guidelines for implementing Lead-free Electronics University of Maryland

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Final Summary

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- Lead-free electronics are a reality.
- Companies with products that are exempt or not in scope will be impacted by the global transition to Pb-free and RoHS compliant electronics.
- All electronic equipment manufactures need to determine their course of action.
- Companies need to be educated through interaction with supply chain, consultants, and industry consortium.
- Not planning is not an option.

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CALCE Publications on Lead-free Electronics

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Abbreviations

- ELV: End-of-Life Vehicle directive
- REACH: Registration, Evaluation, Authorization and Restriction of Chemicals
- IPP: Integrated Product Policy
- EuP: Energy-Using Products
- DTI: Department of Technology and Information
- JEIDA (currently JEITA): Japanese Electronics Industry Development Association (Japanese Electronics and Information Technology Industries Association)
- JIEP: Japan Institute of Electronics Packaging
- NCMS: National Center for Manufacturing Services
- IDEALS: Improved Design Life and Environmentally Aware Manufacture of Electronics Assemblies by Lead-free Soldering
- NEDO: New Energy and Industry Technology Development Organization
- NEMI (currently iNEMI): National (international) Electronics Manufacturing Initiatives
- IMS: Intelligence Manufacturing Systems

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